

Antimicrobial Activity and Hemocompatibility of Zinc-Doped-Iron Oxide Nanoparticles

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A thesis submitted to the Department of Mathematics and Natural Sciences in partial fulfillment of the requirements for the degree of
Bachelor of Science in Microbiology

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Declaration

It is hereby declared that

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3. The thesis does not contain material which has been accepted, or submitted, for any other degree or diploma at a university or other institution.
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Ethics Statement

Our thesis paper contains less than 20% plagiarism.

Abstract/Executive Summary

Antibiotic resistance has been estimated to cause 10 million deaths worldwide by the year 2050. Given the severity of drug resistance, researchers are developing more efficient antibacterial methods. Over the last few years, iron oxide nanoparticles (IONPs) have drawn attention in treatment of microbial infections. However, the antimicrobial activity of IONPs can be enhanced by doping metals like zinc due to its own antimicrobial activity. In this study, we reported the antimicrobial activity and hemocompatibility of zinc-doped iron oxide nanoparticles (ZDI) synthesized via co-precipitation method. FTIR analysis confirmed that ZDI showed all the characteristics peak. Furthermore, ZDI exhibited antimicrobial activity against both gram-positive and gram-negative bacteria, with a maximal zone of inhibition (ZOI) of 18 mm against *Bacillus subtilis* at 15 mg/mL. Furthermore, MIC and IC₅₀ results confirmed that ZDI were more potent in inhibiting the growth of gram-positive bacteria. Moreover, ZDI exhibited excellent hemocompatibility towards human red blood cells ($\leq 5\%$ hemolysis) at lower concentrations (upto 10 mg/mL).

Keywords: Zn-doped-iron oxide nanoparticles; Co-precipitation; Antimicrobial activity; Resistant bacteria; Nanoparticles; Hemolysis.

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List of Acronyms

AMR	Antimicrobial Resistance
IONPs	Iron Oxide Nanoparticles
ZDI	Zinc Doped Iron Oxide Nanoparticles
ROS	Reactive Oxygen Species
AMX	Amoxicillin
MT	Metronidazol
PEG	Polyethylene Glycol
FTIR	Fourier Transform Infrared
TEM	Transmission Electron Microscopy
MRI	Magnetic Resonance Imaging
ZOI	Zone of Inhibition
MIC	Minimum Inhibitory Concentration
MBC	Minimum Bactericidal Concentration
MO	Metal Oxides
RBC	Red Blood Cell
PBS	Phosphate-buffered Saline
NPs	Nanoparticles
ROS	Reactive oxygen species
Ref.	References
Conc.	Concentrations
NR	Not reported
NDI	Ni-doped-Fe ₂ O ₄

NDIZ	Ni-doped-Fe ₃ O ₄ /ZnO
CDI	Cu-doped- α -Fe ₂ O ₃
CBDI	Co-doped-Fe ₃ O ₄
ZDI	Zn-doped-Fe ₂ O ₃
ZODI	ZnO-doped-Fe ₃ O ₄
SDI	Se-doped-Fe ₃ O ₄
RDI	Cr-doped-CeFe ₂ O ₄
KDI	Ca-doped-Fe ₃ O ₄
MDI	Mo-doped-Fe ₃ O ₄
ADI	Au-doped-Fe ₃ O ₄
PEI	Polyethylenimine
MAP	Microwave-assisted process
CP	Co-precipitation
GC	Green combustion
CPA	Chemical polymerization approach
SGP	Sol-gel process
HDA	Hydrothermal approach
STM	Solvothermal method
NDG	Nitrogen-doped grapheme
CLP	Calcium phosphates
DLM	D, l-methionine.

Chapter 1

1 Introduction

1.1 Epidemiology of Antimicrobial Resistance

Antimicrobial resistance (AMR) is an urgent public health threat associated with severe mortality and morbidity (Tacconelli & Pezzani, 2019). Nearly, 700,000 death occurrences are recorded due to AMR of various types of bacteria and this statistic is estimated to cross 10 million prior to the year 2050 (O'Neill, 2016). The estimated death occurrence is 4,730,000 in Asia, 390,000 in Europe, 4,150,000 in Africa, 317, 000 in North America , 392,000 in Latin America, and lastly, 22,000 in Oceania by 2050 (Neely & Holder, 1999). According to European Centre for Disease Prevention and Control, 33000 deaths have occurred due to antibiotic resistance before covid-19 and 35,900 deaths occur every year solely in the United States ("Antibiotic resistance threats in the United States, 2019," 2019). The rate and incidence of antibiotic resistance in different countries varied greatly (Figure 1 and Table 1).

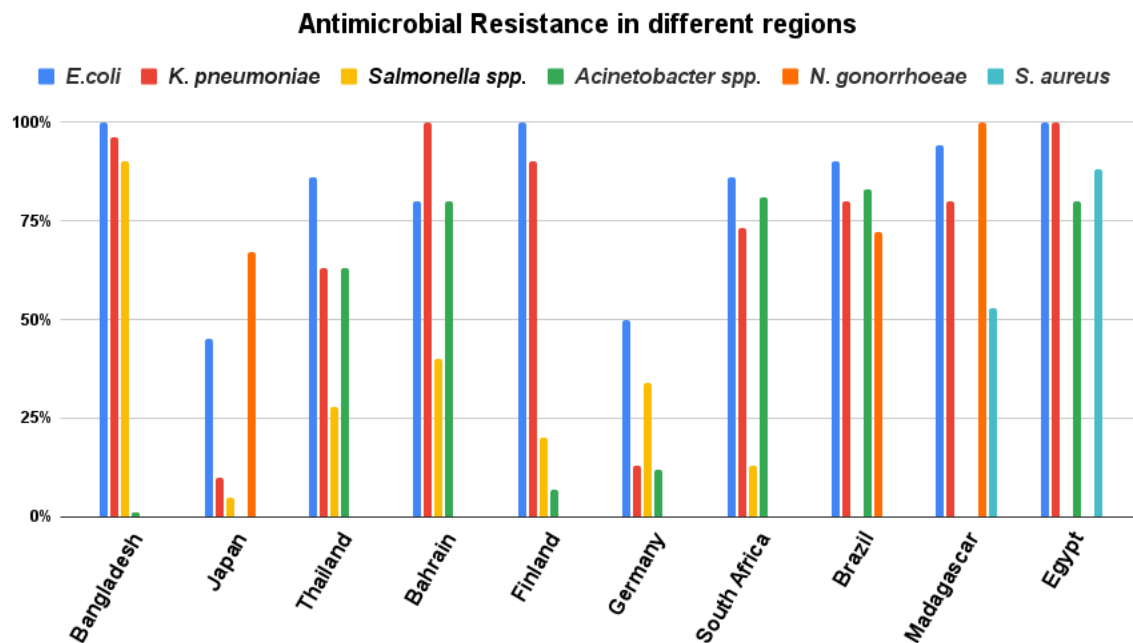


Figure 1: AMR in different regions. AMR: Antimicrobial resistance.

Table 1:Antimicrobial resistance in different regions(Organization, 2021).

Country	Bacteria	Infected number	Rate	Antibiotics
Bangladesh	<i>E. coli</i>	412	7-100%	CFPM, CFZ, AMP, CIP, MEM, IPM, CRO, LVX.
	<i>K. pneumoniae</i>	106	6-96%	CFZ, CTX, CIP, IPM.
	<i>Salmonella</i> spp.	20	0-90%	CTX, CFZ, CRO, CIP, ETP, MEM.
	<i>Acinetobacter</i> spp.	375,822	0–1%	AK, IPM, MEM, MIN.
Japan	<i>E. coli</i>	105,099	0–45%	AMP, CPFM, CTX, CFZ, CRO, CIP, IPM, LVX, MEM.
	<i>K. pneumoniae</i>	306	0–10%	CPFM, CTX, CFZ, CRO, IPM, LVX, MEM, CIP.
	<i>Neisseria gonorrhoeae</i>	1621	0–67%	AZM, CFZ, CRO, CIP, SPT.
	<i>Salmonella</i> spp.	103,744	0–5%	CFZ, CTX, CIP, IPM, MEM.
Thailand	<i>E. coli</i>	1007	0–86%	CPFM, CTX, CFZ, CRO, CIP, SXT, AMP, IPM, MEM, ERT, LVX, CL, DOR.
	<i>Acinetobacter</i> spp.	6907	1–63%	IPM, MEM, GM, AK, CL, DOR, TIG.
	<i>K. pneumoniae</i>	3225	0–63%	CPFM, CTX, CFZ, CRO, CIP, IPM, MEM, ERT, DOR, STX, CL, LVX.
	<i>Salmonella</i> spp.	2633	0–28%	CTX, CFZ, CRO, CIP, IPM, MEM, ERT, DOR.
Bahrain	<i>E. coli</i>	1101	0–80%	CPFM, CTX, CFZ, CRO, CIP, IPM, MEM, ERT, DOR, STX, CL, LVX.
	<i>Acinetobacter</i> spp.	204	0–80%	AK, CL, GM, IPM, MEM, TIG.
	<i>K. pneumoniae</i>	289	0–100%	CPFM, CTX, CFZ, CRO, CIP, IPM, MEM, ERT, STX, CL, LVX.
	<i>Salmonella</i> spp.	250	0–40%	CFZ, CRO, CIP, IPM, MEM.
Finland	<i>E. coli</i>	1544	0–100%	CTX, CFZ, CRO, CIP, IPM, MEM, ERT, STX, LVX, AMP.
	<i>Acinetobacter</i> spp.	15,983	0–7%	MEM.
	<i>K. pneumoniae</i>	6853	0–90%	CTX, CFZ, CRO, CIP, IPM, MEM, ERT, STX, LVX.
	<i>Salmonella</i> spp.	159,676	0–20%	CRO, CIP, MEM, CFZ, LVX.
Germany	<i>E. coli</i>	61,112	0–50%	CTX, CFZ, CRO, CIP, AMP, IPM, MEM, ERT, STX, LVX.
	<i>Acinetobacter</i> spp.	357,718	0–12%	IPM, MEM, GM, AK.
	<i>K. pneumoniae</i>	23,837	0–13%	CPFM, CTX, CFZ, CRO, CIP, IPM, MEM, ERT, LVX.
	<i>Salmonella</i> spp.	11,015	0–34%	CTX, CFZ, CRO, CIP, IPM, MEM, ERT, LVX.
South Africa	<i>E. coli</i>	6965	0–86%	CPFM, CTX, CFZ, CRO, CIP, IPM, MEM, ERT, DOR, STX, AMP.
	<i>Acinetobacter</i> spp.	5983	0–81%	AK, GM, IPM, MEM, MIN, TIG.
	<i>K. pneumoniae</i>	1228	13–73%	CPFM, CFZ, CRO, CIP, IPM, MEM, ERT, STX.
	<i>Salmonella</i> spp.	3138	0–13%	CTX, CFZ, CRO, CIP, IPM, ERT.
Brazil	<i>E. coli</i>	862	0–90%	CTX, CFZ, CRO, CIP, IPM, MEM, ERT, LVX, AMP, STX.
	<i>Acinetobacter</i> spp.	860	0–83%	AK, GM, IPM, MEM, TIG.
	<i>K. pneumoniae</i>	376	19–80%	CTX, CFZ, CRO, CIP, IPM, MEM, ERT, LVX, STX.
	<i>N. gonorrhoeae</i>	6	0–72%	CPME, CRO, CIP, GM, SPT.
Madagascar	<i>E. coli</i>	2011	0–94%	CPFM, CTX, CFZ, CRO, CIP, IPM, MEM, ERT, DOR, STX, AMP, LVX.

Egypt	<i>K. pneumoniae</i>	39	0–80%	CPFM, CTX, CFZ, CRO, STX, CL, IPM.
	<i>S. aureus</i>	5	18–53%	FOX.
	<i>N. gonorrhoeae</i>	446	1–100%	CRO, CIP, AZM.
	<i>Acinetobacter</i> spp.	46	6–80%	AK, CL, GM, IPM, MEM.
	<i>E. coli</i>	622	4–100%	CPFM, CTX, CFZ, CRO, IPM, MEM, ERT, AMP, LVX, CIP.
	<i>K. pneumoniae</i>	149	38–100%	CPFM, CTX, CFZ, CRO, CIP, IPM, MEM, ERT, DOR, STX, LVX.
	<i>S. aureus</i>	46	66–88%	OXA.

CPFM: Cefepime; CFZ: Cefazidime; AMP: Ampicillin; CIP: Ciprofloxacin; MEM: Meropenem; IPM: Imipenem; CRO: Ceftriaxone; LVX: Levofloxacin; CTX: Cefotaxime; ETP: Ertapenem; SPT: Spectinomycin; Co-trimoxazole: SXT; GM: Gentamicin; DOR: Doripenem; TIG: Tigecycline; CL: Colistin; AK: Amikacin; MIN: Minocycline; AZM: Azithromycin; FOX: Cefoxitin; OXA: Oxacillin.

Apart from the uncontrolled use of antibiotics, other factors including not maintaining good hygiene, poor healthcare system, usage of antibiotics in the livestock and food industries and accumulation in the environment contribute to AMR as well (Collignon & Beggs, 2019). Unfortunately, in the past few decades, bacteria have developed a wide array of AMR mechanisms protecting them from the effects of these drugs and as a result, AMR became more prevalent (Aslam *et al.*, 2018). Mainly resistance mechanisms are divided into three different pathways; altering the antibiotic target site, modifying the antibiotic molecule as well as destroying it, and inhibiting the antibiotic molecule from binding to target sites (Blair *et al.*, 2015; Wright, 2010). Given the severity of drug resistance and the scarcity of therapeutic choices, researchers are developing more efficient and enduring antibacterial methods. Recently, nanoparticles have drawn attention in the treatment of microbial infections (Arakha *et al.*, 2015; Farias *et al.*, 2018; Hussein-Al-Ali *et al.*, 2014; Jain *et al.*, 2021; Lallo da Silva *et al.*, 2019; Mussin *et al.*, 2021; Vazquez-Muñoz *et al.*, 2019; Yu *et al.*, 2020) and there is a variety of ways to overcome the mechanisms of microbial resistance, including hindering the formation of biofilms, disrupting membranes by generating reactive oxygen species (ROS), and concurrently acting on numerous modes of action to stop microbial growth. It occurs since most nanoparticles do not function through the same channels that most antibiotic resistance mechanisms do (Rai, 2013).

1.2 Nanoparticles and Pathogenic Bacteria: An Alternative Antimicrobial

Agent

The effect of nanoparticles to bacteria varies by strain or species (Baek & An, 2011; Hajipour *et al.*, 2012). One of the major reasons why pathogens are prone to nanoparticles could be their cell wall and cell membrane composition (de Lacerda Coriolano *et al.*, 2021; Pokhrel *et al.*, 2022). Azam *et al.* (2012) and Ismail *et al.* (2015) have found that gram-positive bacteria are more susceptible to IONPs than gram-negative bacteria, and this difference has been related to the polarity of cell wall and cell membrane (Azam *et al.*, 2012; Ismail *et al.*, 2015). Gram-negative bacteria's cell wall is more physically and chemically complicated than gram-positive bacteria's, with an outer membrane that is composed of lipopolysaccharides, phospholipids, and proteins and an underneath thin peptidoglycan layer (Aruguete & Hochella, 2010). The outer membrane of gram-negative bacteria is reported to contain lipopolysaccharides, which elevate the net negative charge of the cell membrane (Hajipour *et al.*, 2012), this would render the cell membrane that is impermeable to lipophilic solutes while also limiting negatively charged free radical penetration. As a result, gram-negative bacteria are claimed to be lesser susceptible to IONPs (Ismail *et al.*, 2015). Contrary to gram-positive bacteria that lack an outer membrane and rely only on the peptidoglycan layer, which is not a robust permeable barrier, are more vulnerable to lower nanoparticles concentrations (Bhattacharya & Neogi, 2018). Recently, among all the nanoparticles, iron oxide nanoparticles (IONPs) are particularly interesting in developing therapies, targeted drug delivery, and other biological applications due to their antimicrobial activity, attractive magnetic properties, vast surface area, tiny size, minimal cytotoxicity, and biocompatibility (Arakha *et al.*, 2015; Das *et al.*, 2020; Hussein-Al-Ali *et al.*, 2014; Yu *et al.*, 2020).

1.3 Iron Oxide Nanoparticles (IONPs)

IONPs belonging to the ferrimagnetic class of magnetic materials are used for a wide variety of biomedical and bio-engineering applications (Albert *et al.*, 2010). IONPs synthesis methods include co-precipitation (CP) (Bhushan *et al.*, 2019), thermal decomposition (Rabia *et al.*, 2017), the sol-gel process (SGP) (Toropova *et al.*, 2021), hydrothermal approach (HTM)(Li *et al.*, 2020), electrochemical method (Prabhu *et al.*, 2015), laser ablation (Omelchenko *et al.*, 2015;

Rabia *et al.*, 2017) sonochemical, microwave, micro emulsion methods, the matrix-mediated method using PVA, "green synthesis", and many more (Arias *et al.*, 2018; Armijo *et al.*, 2020; Rufus *et al.*, 2016; Tran *et al.*, 2010). Different polymorphic forms of iron oxides include γ -Fe₂O₃, Fe₃O₄, and FeO which are respectively maghemite, magnetite, and wustite. The most studied are γ -Fe₂O₃ and Fe₃O₄ because they possess extraordinary properties at the nanoscale e.g. superparamagnetism, high specific surface area, biocompatible, etc. (Noqta *et al.*, 2019). At this scale, quantum phenomena have an impact on the optical, electrical, and magnetic properties of matter (Iriarte-Mesa *et al.*, 2020; Trindade & Thomas, 2013; Tringides *et al.*, 2007). For instance, the magnetic Fe₃O₄ nanoparticles below the size of 20 nm are superparamagnetic (Li *et al.*, 2017). The increased surface area-to-volume ratio enables excellent dispersibility in a solution form which makes these materials ideal for surface functionalization and modification in a variety of applications, including separation techniques (Gu *et al.*, 2006), visualization and diagnostics (Jacinto *et al.*, 2021), magnetic sorting (of cells and other biomolecules, etc.) (Lin *et al.*, 2017), drug delivery (Saikia *et al.*, 2016), cancer hyperthermia (Zhang & Song, 2016), sensing, etc. (Ajinkya *et al.*, 2020; Gawande *et al.*, 2016). Additionally, IONPs and free iron ions exhibit antimicrobial properties; however, unlike free ions, IONPs do not possess a significant toxic effect on mammalian cells (D. Li *et al.*, 2021; Du Li *et al.*, 2021; Saliani *et al.*, 2015a, 2015b; Sihem *et al.*, 2020). Bare IONPs, however, fall short of what is needed for practical applications. One of the most popular and straightforward methods for obtaining enhanced multifunctional characteristics in IONPs is doping (Laha *et al.*, 2022; Liu *et al.*, 2016).

1.4 Doped Iron Oxide Nanoparticles (Doped-IONPs)

Doped-IONPs are synthesized following the modern doping procedure approach to alter the physicochemical as well as biological, electrical and optical properties. Doping is inserting foreign metal particles into an empty crystal lattice of another metal which changes the primary metal's various properties (Rekha *et al.*, 2010). Multiple types of dopants e.g. manganese (Mn), zinc (Zn), silver (Ag), cobalt (Co), nickel (Ni), gold (Au), copper (Cu), rare earth and different synthesis methods are broadly applied for doping purposes (Zhang *et al.*, 2006). However, selecting a proper dopant is crucial as excessive amounts of doping can deteriorate the catalyst performance caused by the high accumulation of dopant on the catalyst itself (Mohtar *et al.*, 2021). Different doping methods include CP, green-synthesis, combustion, SGP, sonochemical,

laser ablation and biological reduction (Karunakaran *et al.*, 2010; Khan *et al.*, 2020; Khashan *et al.*, 2020). The green-synthesis method for doping is eco-friendly producing fewer pollutants (Hamidian *et al.*, 2022).

Recently, doping has had more significance in biomedical applications including drug delivery, antimicrobial and anti-cancer activities observation (Cui *et al.*, 2021; Shoaib *et al.*, 2021). A recent study reported the addition of transition metals into IONPs exhibited better antimicrobial activity than bare IONPs (Elayakumar *et al.*, 2019). Such advantages emerge due to metals (e.g. Zn, Ag, and Cu) having antimicrobial characteristics in their bulk form (J. T. Seil & T. J. Webster, 2012). Additionally, the antimicrobial activity of these metals is inversely related to their nanoscale diameters (Justin T. Seil & Thomas J. Webster, 2012). Doping aids in increasing the surface area, improving the loading capability according to the desired size, shape and properties results in potential tumor killing agents (Cui *et al.*, 2021). The chemotherapeutic actions can be modified and controlled as well (Liu *et al.*, 2021). Study by Casula *et al.* (2016) showed the bare IONPs can obtain intrinsic magnetic properties along with desired morphological and structural features by Mn doping (Casula *et al.*, 2016). Studies reported that metal doped-IONPs minimized the proliferation of both gram-positive and gram-negative bacterial strains such as *S. aureus* (Bhushan *et al.*, 2019), *E. coli* (Anbu, 2022; Bhushan *et al.*, 2019) and *Serratia marcescens* (Raveesha *et al.*, 2021). In another research by Ahghari *et al.*(2013), doped-IONPs showed enhanced antimicrobial activity than bare IONPs (Ahghari *et al.*, 2023).

1.4.1 Antimicrobial Activities of Doped-IONPs

Bare IONPs have their own properties which can be enhanced by doping (Keerthana *et al.*, 2021). Although IONPs have its own anti-bacterial activities, doping through different methods can change several properties e.g. increasing their antibacterial activities and displaying cytotoxic activity (Keerthana *et al.*, 2021). IONPs doped with transition metals and coating material shows significant antimicrobial activity based on synthesis process, size, and concentrations on gram-positive and gram-negative bacteria (Table 2). Different studies of doped-IONPs sized between 5-500 nm exhibited improved antimicrobial activity against both gram-positive and gram-negative bacteria. Doped-IONPs sized around 20-200 nm have shown notable antimicrobial activity on different bacteria, where doped-IONPs size less than 20 nm

(Janczak *et al.*, 2021; Rahdar *et al.*, 2020; Sadeghi Rad *et al.*, 2021) and larger than 200 nm are comparatively insignificant (Anbu, 2022; Babu *et al.*, 2020; Haghniaz *et al.*, 2021; Janczak *et al.*, 2021; Raveesha *et al.*, 2021; Vijayalakshmi & L, 2021). Doped-IONPs were synthesized by using CP, chemical polymerization approach (CPA), microwave-assisted approach (MAP), green combustion (GC), SGP, HTM and solvothermal method (STM) although CP method was the most approached one due to its less complexity (Anbu, 2022; Babu *et al.*, 2020; Bhushan *et al.*, 2019; Haghniaz *et al.*, 2021; Vijayalakshmi & L, 2021). The core advantage of the CP methods than other synthesis method is the ability to produce a large amount of nanoparticles. The size and shape of the nanoparticles can be customized with reasonable success using the CP approach by altering ionic strength, pH, temperature, the type of the salts e.g. chlorides, perchlorates, nitrates, and sulfates, or the concentration ratio of FeII/FeIII (Babes *et al.*, 1999). According to the thermodynamics of the CP process, full IONP precipitation should be expected in a non-oxidizing oxygen environment with a pH range of 8 to 14, and in a stoichiometric ratio of 2:1 ($\text{Fe}^{3+}/\text{Fe}^{2+}$) (Jolivet *et al.*, 2004). Additionally, the size of the particles can be controlled by changing or adding different stabilizers and Fe_3O_4 ratios (Liu *et al.*, 2004). In this study, CP synthesis method has been used to its adverse flexibilities.

Metal doped with IONPs e.g. Zn-doped-IONPs (ZDI), Cu-doped-IONPs (CDI), Ni-doped-IONPs (NDI), Se-doped-IONPs (SDI), Au-doped-IONPs, Cr-doped-IONPs, and Ca-doped-IONPs (KDI) have shown higher antimicrobial activity against resistant bacteria (*Bacillus subtilis*, *K. pneumoniae*, *E. coli*, *S. aureus*, *Proteus vulgaris*) compared to Mo-doped-IONPs (MDI), Co-doped-IONPs (CBDI), in terms of zone of inhibition (ZOI) (Table 2). Among all the metal-doped-IONPs ZDI, CDI, SDI have shown the highest bacterial inhibition based on ZOI (≥ 20 nm) (Ahghari *et al.*, 2023; Babu *et al.*, 2020; Raveesha *et al.*, 2021), while KDI, MDI, CBDI have shown the lowest ZOI against different bacteria (except on *S. aureus*) (Adamiano *et al.*, 2019; Rahdar *et al.*, 2020; Shujah *et al.*, 2022; Vijayalakshmi & L, 2021). Doping activity of ZDI at the lowest concentration was comparatively higher than any other doped-IONPs (Haghniaz *et al.*, 2021). Apart from ZOI, minimum inhibitory concentration (MIC) was performed to determine the lowest concentration which could inhibit the bacterial growth (Anbu, 2022; Haghniaz *et al.*, 2021; Rahdar *et al.*, 2020; Raveesha *et al.*, 2021; Shujah *et al.*, 2022; Vijayalakshmi & L, 2021).

Table 2: List of particles size, synthesis methods, coating materials, MIC, MBC, ZOI and mechanisms of doped-IONPs on different organisms.

DMP	NPs	Size (nm)	SYM	CMM	Organisms	Conc. of NPs (µg/mL)	ZOI (mm)	MIC (µg/mL)	MBC (µg/mL)	Mechanisms	Ref.
Ni	NDI	15-200	CPA	NDG	<i>E. coli</i>	50	16	50	NR	Ni ²⁺ and Fe ³⁺ ions interact with membrane proteins, inflicting damage to the bacterial membrane and inhibiting bacterial growth.	(Anbu, 2022)
				Cellulose	<i>B. subtilis</i>	50	14	50	NR		
	NDIZ	28-33	MAP	ZnO	<i>K. pneumoniae</i>	NR	13	NR	NR	The microwave and sonication of Fe ₃ O ₄ /ZnO nanocomposites and different NDIZ had a significant impact on ROS assisted toxicity. The ultrasonication process allows Ni ions to reach the Fe ₃ O ₄ /ZnO surface and generate greater ROS in the solution. This triggered oxidative stress in the bacteria, which results in cell disintegration.	(Vijayalakshmi & L, 2021)
					<i>S. aureus</i>	NR	17	NR	NR		
	NDIZ	36	MAP	ZnO	<i>K. pneumoniae</i>	NR	6	NR	NR		
					<i>S. aureus</i>	NR	6	NR	NR		
Cu	CDI	20–60	GC	PEI	<i>P. vulgaris</i>	400	16 ± 1.0	250	NR	Particles disrupt the cell wall, inducing oxidative stress and cell lysis. It promotes the oxidation of proteins and DNA by producing ROS.	(Raveesh a <i>et al.</i> , 2021)
					<i>Serratia marcescens</i>	400	16.67 ± 0.58	250	NR		
					<i>Streptococcus mutants</i>	400	10	250	NR		
					<i>Bacillus cereus</i>	400	15 ± 1.0	NR	NR		

	CDI	21	CP	NR	<i>E. coli</i>	400	20	600	NR	ROS formation which causes oxidative stress. Free radicals lead to bacterial death by acting in several ways which include breaking of DNA strands, peroxidation of membrane lipids, inactivation of enzymes and depolymerization of polysaccharides,	(Bhushan <i>et al.</i> , 2019)
						600	23±1				
						800	25				
					<i>B. subtilis</i>	400	16	600	NR		
						600	19				
						800	23				
					<i>S. aureus</i>	400	18	750	NR		
						600	18				
						800	20				
					<i>Salmonella typhi</i>	400	15 ± 1.0	600	NR		
						600	20 ± 1.0				
						800	23				
Co	CBDI	10-14	CP	NR	<i>Shigella dysenteriae</i>	1-2048	NR	>2048	>2048	The particles attach to the plasma membrane causing disruption and ensuring the ROS are already co-localized to the lipids. But the electron emitted from the iron atom in the presence of cobalt (III) (Co) might be retained by the Co (III) and converted to the highly stable Co (II) and causing no formation of highly oxidized species and less activity.	(Rahdar <i>et al.</i> , 2020)
					<i>K. pneumoniae</i>	1-2048	NR	>2048	>2048		
					<i>Acenetobacter baumnii</i>	1-2048	NR	>2048	>2048		
					<i>Streptococcus pyogene</i>	1-2048	NR	>2048	>2048		
Zn	ZDI	5–15	N/A	NR	<i>E. coli</i>	NR	NR	NR	NR	Induce oxidative stress and destabilize the cellular redox system, resulting in the production of reactive	(Janczak <i>et al.</i> , 2021)
					<i>S. aureus</i>	NR	NR	NR	NR		
					<i>Pseudomonas aeruginosa</i>	NR	NR	NR	NR		
					<i>Legionella pneumophila</i>	NR	NR	NR	NR		
					<i>Salmonella enterica</i>	NR	NR	NR	NR		

										oxygen species.	
	ZODI	75.90	CP	NR	<i>E. coli</i> <i>S. aureus</i>	250000 250000	14 10	NR NR	NR NR	Positively charged metal nanoparticles and negatively charged microorganisms are attracted to one another. Consequently, the bacteria are oxidized and exterminated. Cell cleavage causes an interaction between metal ions and thiol groups on the bacterial cell surface. Another route for bacterial protein and DNA damage might be ROS including OH, O ₂ , and H ₂ O ₂ .	(Babu <i>et al.</i> , 2020)
	ZODI	34.99	CP	Ag	<i>E. coli</i> <i>S. aureus</i>	250000 250000	19 11	NR NR	NR NR	ZnO-based nanocomposites aggregate in the outer membrane or cytoplasm of bacterial cells. This leads to the discharge of Zn ²⁺ ions, which promotes cell membrane damage and the death of bacterial cells.	(Babu <i>et al.</i> , 2020)
	ZDI	500	STM	NR	<i>Microcystis aeruginosa</i>	50000	NR	NR	NR	Magnetic Zn-doped Fe ₃ O ₄ particles have a photocatalytic inactivation effect. The photocatalytic	(Qi <i>et al.</i> , 2020)

										pretreatment produced superoxide radicals, which were responsible for mucilage desorption. As a result, the improved cell removal may be explained through the mucilage desorption impact on microorganisms in the photocatalytic process.	
	ZDI	28	SGP	NR	<i>K. pneumoniae</i>	25 50 75	16	75	NR	Generate ROS-induced bacterial harm. Following that, intracellular cytoplasmic leakage of sugar and protein indicates their potential to disrupt bacterial membrane integrity.	(Sharma <i>et al.</i> , 2022)
	ZDI	47.9 ±2.5	CP	NR	<i>E. coli</i>	12.5 25 50 100	9 ± 0.8 10 ± 0.4 10 ± 0.6 11 ± 0.7	NR	NR	Induced bacterial cell death via membrane disruption, protein leakage, and ROS generation for bactericidal efficacy. Small NPs adsorbing on the cell surface can generate intracellular ROS, leading to bacterial cell death.	(Haghniaz <i>et al.</i> , 2021)
					<i>P. aeruginosa</i>	12.5 25 50 100	10 ± 0.8 11 ± 0.4 11 ± 0.5 12 ± 0.8				
					<i>K. pneumoniae</i>	12.5 25 50 100	10 ± 0.5 10 ± 1 11 ± 1.1 13 ± 1.6				
					<i>S. typhi</i>	12.5 25	8 ± 0.5 10 ± 0.7				

						50	11 ± 1.4				
						100	12 ± 1.1				
					<i>S. aureus</i>	12.5	10 ± 0.5				
						25	10 ± 0.6				
						50	11 ± 0.8				
						100	13 ± 0.9				
Se	SDI	80	CP	NR	<i>S. aureus</i>	10000	18	NR	NR	The combination of Se NPs effectively increases the formation of ROS. The most commonly acknowledged mode of action for Se NPs is particle attachment to the bacterial surface and selenium ion release into the bacterial cell, resulting in oxidative stress, protein synthesis inhibition, or DNA mutation.	(Ahghari <i>et al.</i> , 2023)
					<i>Staphylococcus saprophyticus</i>	10000	2	NR	NR		
					<i>P. aeruginosa</i>	10000	8	NR	NR		
					<i>K. pneumoniae</i>	10000	6	NR	NR		
					<i>E. coli</i>	10000	11	NR	NR		
Cr	RDI	1.64	CP	NR	<i>S. aureus</i> (ATCC 25993)	800	NR	10 50	200 400	The major mechanism could be oxidative stress triggered by ROS such as superoxide radicals, hydroxyl radicals, and hydrogen peroxide (H ₂ O ₂), which can damage bacteria's DNA and proteins. In this case, magnetite may be regarded as the source of the ROS that reduced the	(Sadeghi Rad <i>et al.</i> , 2021)

										viability of <i>S. aureus</i> . Additionally, the use of transition metals, particularly chromium, can affect the topology and adversely affect bacteria's replication and transcription.	
Ca	KDI	5–10	CP	CLP	<i>E. coli</i>	5000	NR	NR	NR	Dose dependent toxicity of NPs leads to bacterial cell rupture.	(Adamiano <i>et al.</i> , 2019)
					<i>S. aureus</i>	5000	NR	NR			
					<i>Salmonella enteritidis</i>	5000	NR	NR			
					<i>P. aeruginosa</i>	5000	NR	NR			
Mo	MDI	36.11	CP	NR	<i>E. coli</i>	20000	3.45	NR	NR	By ROS formation, positive radicals rapidly enter into bacterial cell membranes, causing bacterial death. In contrast to negatively charged radicals such as hydroxyl radicals and superoxide anions, which are unable to pass through microorganism cell membranes yet cause significant damage to the outermost surface of bacterial cells.	(Shujah <i>et al.</i> , 2022)
		38.45									
		25.74									
		24.38									
Au	ADI	11.9 ± 0.15	HDA	DLM	<i>A.baumannii</i>	70000	NR	NR	NR	Strong ionic contact of nanoparticle with bacterial cell membrane increases the permeability which leads to cell death.	(Žalnieravičius <i>et al.</i> , 2019)
					<i>S.enterica</i>	70000	NR	NR	NR		
					<i>S.aureus</i>	70000	NR	NR	NR		
					<i>Micrococcus luteus</i>	70000	NR	NR	NR		

DMP: Doping materials; SYM: Synthesis Methods; CMM: Coating materials; NPs: Nanoparticles; Ref.: References; Conc.: Concentrations; ZOI: Zone of inhibition; MIC: Minimum inhibitory concentration; MBC: Minimum bactericidal concentration; NR: Not reported; ROS: Reactive oxygen species; NDI: Ni-doped-Fe₃O₄; NDIZ: Ni-doped-Fe₃O₄/ZnO; CDI: Cu-doped- α -Fe₂O₃; CBDI: Co-doped-Fe₃O₄; ZDI: Zn-doped-Fe₃O₄; ZODI: ZnO-doped-Fe₃O₄; SDI: Se-doped-Fe₃O₄; RDI: Cr-doped-CeFe₂O₄; KDI: Ca-doped-Fe₃O₄; MDI: Mo-doped-Fe₃O₄; ADI: Au-doped-Fe₃O₄; PEI: Polyethylenimine; OH: Hydroxyl radicals; O₂⁻: Superoxide anion radicals; H₂O₂: Hydrogen peroxide; MAP: Microwave-assisted process; CP: Co-precipitation; GC: Green combustion; CPA: Chemical polymerization approach; SGP: Sol-gel process; HDA: Hydrothermal approach; STM: Solvothermal method; NDG: Nitrogen-doped graphene; CLP: Calcium phosphates; DLM: D,L-methionine.

Studies of NDI and ZDI had demonstrated better MIC with the lowest amount on *E. coli*, *B. subtilis*, *S. typhi*, *K. pneumonia* while MDI had shown no significant inhibition of *E. coli* at the highest concentration (Table 2) (Shujah et al., 2022). However, MIC and minimum bactericidal concentration (MBC) of doped-IONPs were not reported in most of the studies (Adamiano et al., 2019; Ahghari et al., 2023; Babu et al., 2020; Haghniaz et al., 2021; Janczak et al., 2021; Qi et al., 2020; Shujah et al., 2022; Vijayalakshmi & L, 2021; Žalnėravičius et al., 2019). Although research by Ivashchenko et al. (2015) represented a higher antimicrobial activity of doped-IONPs combined with antibiotics (Ivashchenko et al., 2015). He also mentioned in a different study that doped-IONPs combined with antibiotics had released iron ions nearly twice as much as bare IONPs at pH 5 in response to the formation of galvanic couple with metal, leading to a better antimicrobial activity (Ivashchenko et al., 2017). Doped-IONPs and bare IONPs had shared similar mechanism of actions from generating ROS to disrupt the bacterial cell membrane and DNA, eventually leading to the cell death (Adamiano et al., 2019; Ahghari et al., 2023; Babu et al., 2020; Haghniaz et al., 2021; Janczak et al., 2021; Qi et al., 2020; Rahdar et al., 2020; Sadeghi Rad et al., 2021; Shujah et al., 2022; Vijayalakshmi & L, 2021; Žalnėravičius et al., 2019).

1.5 Zinc-Doped-Iron Oxide Nanoparticles (ZDI)

Zinc is required by microorganisms, and at relatively low endogenous amounts, they contribute to a variety of important reactions (Haase et al., 2008; Leung et al., 2012). The disruption of Zn²⁺ homeostasis caused by an increase in Zn²⁺ concentration above optimum levels—typically between 10⁻⁷ M and 10⁻⁵ M depending on the microbial strains that allowed Zn²⁺ to enter cells and made zinc lethal to prokaryotes above a concentration of 10⁻⁴ M (Borovanský & Riley, 1989; Sugarman, 1983). As a result, Zn²⁺ exhibits antimicrobial activity and may have antibacterial properties. Two processes, both of which resulted in cell death, were used to account for their antibacterial actions. One resulted in a direct interaction with microbial membranes that

destabilized the membrane and increases permeability (Stanić *et al.*, 2010). The other one was the interaction with nucleic acids and inactivation of respiratory system enzymes (Fang *et al.*, 2006).

Due to the lower toxicity of Zn^{2+} , ZDI had received a lot of interest in nanomedicine. Since the permitted reference daily intake values for Fe and Zn are 18 and 15 mg/day, respectively which is significantly greater than any other biocompatible substance—ZDI have been recognized as a promising choice for bio-medical applications (Hoque *et al.*, 2013). Moreover, cell viability had been observed to be impacted by the physicochemical characteristics of nanoparticles, specifically size and specific surface area and it is well known that nanoscaled particles are much more threatening than micro-scaled ones (Y. Li *et al.*, 2018; Zhang *et al.*, 2018). Doumandji *et al.* (2020) reported ZDI exhibited both the nanosheets and the spherical shape characteristic of IONPs and ZnO nanoparticles (Doumandji *et al.*, 2020). Another study by Abdel Maksoud *et al.* (2021) also reported that ZDI had shown incredible results as an antimicrobial agent (Abdel Maksoud *et al.*, 2021). The mechanism of action(s) of ZDI are discussed below-

1.5.1 Mechanism of Actions of ZDI Antimicrobial Activity

Although doped-IONPs had been demonstrated to exhibit antimicrobial activity against a wide range of microorganisms, including gram-negative and gram-positive bacteria and fungi, little is understood about the exact mechanism of their mode of antimicrobial action. Nonetheless, substantial study had been conducted to understand their method of action, and four well-defined mechanisms had been postulated thus far: (i) oxidative stress by ROS generation, (ii) reduced expression of antibiotic resistance genes, protein and enzyme deactivation, (iii) disruption of cell wall and DNA replication and (iv) impairment of efflux pump (Figure 2).

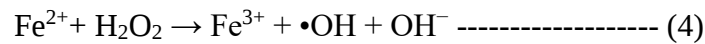
1.5.1.1 Reactive Oxygen Species (ROS) Generation

ZDI exhibited similar mechanism of antimicrobial activity like bare IONPs where one of the important mechanism was generation of ROS (AlMatar *et al.*, 2018; Kohanski *et al.*, 2010) including in photocatalysis, Fenton reactions, or similar ones (Silvia *et al.*, 2017). ROS having a genotoxic action, damage the DNA molecules (Kohanski *et al.*, 2010). The production of ROS leads to oxidative stress. Bacterial mortality is caused by free radicals in a variety of ways, including the depolymerization of polysaccharides, peroxidation of membrane lipids, breaking of

DNA strands, and inactivation of enzymes (Bhushan *et al.*, 2019). An activity reduction in the antioxidant systems enzymes such as catalase and glutathione reductase could be generated by an increase in ROS concentration (Janani *et al.*, 2021). Additionally, ZDI could destruct the integrity of bacterial cell membrane (Adamiano *et al.*, 2019; Babu *et al.*, 2020).

The combination of foreign metals with nanoparticles by doping method could effectively increase the formation of ROS (Rayman, 2000). Besides that, with the addition of doped particles, the spherical morphology of nanoparticles can shift to a nano foil-like structure, which enhanced antimicrobial activity (Vijayalakshmi & L, 2021). In metal-based nanoparticles, it accumulated in the outer membrane or cytoplasm of bacterial cell which triggered the discharge of metal ions leading to cell membrane damage resulting in the death of bacterial cells (Dutta *et al.*, 2013; Jiang *et al.*, 2016; Shi *et al.*, 2014).

At the interface of ZDI, another mode of electron trapping could happen (Feng *et al.*, 2014). The reduction of Fe^{3+} ions to Fe^{2+} ions utilize the electrons from the Fermi energy level (the highest energy level an electron occupies at the absolute zero temperature) of metal oxide (e.g. ZnO) and thus decrease the rate of electron-hole recombination. The photocatalytic efficiency of the ternary nanocomposite enhanced by these electron trapping mechanisms (Babu *et al.*, 2020). Due to the lower stability of Fe^{2+} ions, they get oxidized immediately by oxygen molecules (Ansari *et al.*, 2013; Feng *et al.*, 2014; M. T. Bell *et al.*, 1999). The electrons released during this oxidation reaction were used to form superoxide ion ($\text{O}_2^{\bullet-}$) and the holes generated at the IONPs form the Hydroxyl radical ($\text{OH}^\bullet$). The basic ROS (Babu *et al.*, 2020) reaction process involves -



Where 'A' represents all transition metals.

1.5.1.2 Reduced Expression of Antibiotic Resistance Genes, Protein and Enzyme Deactivation

Similar to bare IONPs, ZDI can also bind to mercapto (-SH), amino (-NH), and carboxyl (-COOH) functional groups of proteins, particularly those in enzymes, causing deactivation or partial inhibition and a decrease expression of antibiotic resistance genes in antibiotic-resistant bacteria (AlMatar *et al.*, 2018; Vedernikova, 2015; Yu *et al.*, 2015).

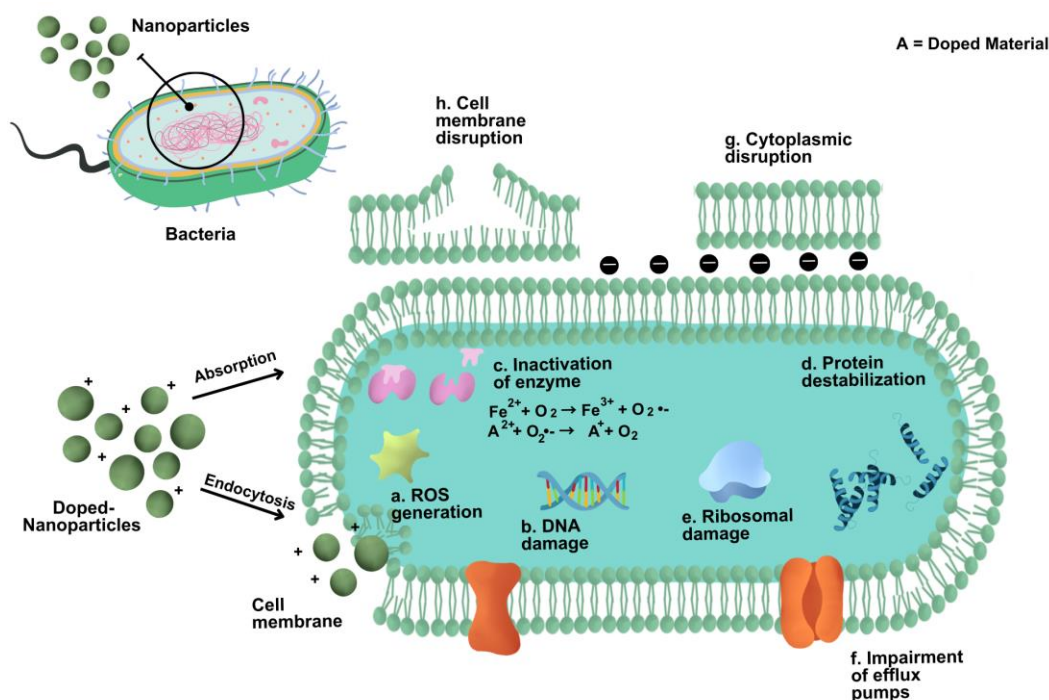


Figure 2: The mechanism of actions of doped-IONPs antimicrobial activity. Particles trigger oxidative stress and cell lysis by (a) generating ROS, (b) damaging DNA (c) inactivating enzymes, (d) destabilizing proteins, (e) damaging ribosome, (f) impairing efflux pump, (g) disrupting cytoplasm and (h) cell membrane. ROS: Reactive oxygen species; IONPs: Iron Oxide Nanoparticles.

1.5.1.3 Disruption of Cell Wall and DNA Replication

The use of transition metals by doping method, particularly chromium, can affect the topology and adversely affect bacteria's DNA replication and transcription (Plaper *et al.*, 2002; Vedernikova, 2015). Doped-IONPs can enter the cytoplasm (Božić *et al.*, 2020), accumulate there, and damage cell wall in the same way as bare IONPs (Armijo *et al.*, 2020; Yunqiao Li *et al.*, 2018). Moreover, F₀/F₁-ATPase activity, the rate of H⁽⁺⁾ channel through the membrane,

and the redox potential can all be affected (Gabrielyan *et al.*, 2019). Based on their size and similarities to other forms of metal IONPs, the antimicrobial effect of doped-IONPs has been the subject of various investigations (Babu *et al.*, 2020).

1.5.1.4 Impairment of Efflux Pump

There are two potential ways that ZDI may prevent efflux pumps from functioning. One potential method is the direct binding of ZDI to the active site of efflux pumps, preventing the extrusion of antibiotics beyond the cells. The binding location of efflux pumps may be affected by ZDI acting as a competitive antibiotic inhibitor in this instance (Padwal *et al.*, 2014). Disrupting efflux kinetics is another potential mechanism (Nallathamby *et al.*, 2010). ZDI may terminate the proton gradient, inducing disruptions or the loss of the proton motive force (PMF) of the membrane which would weaken the driving force required for the efflux pump to function (Fig. 2) (Choi *et al.*, 2008; Dibrov *et al.*, 2002; Padwal *et al.*, 2014).

1.6 Aims and Motivation of the Study

IONPs exhibit remarkable antimicrobial properties opening a door to be a potential antimicrobial agent. Development of enhanced antimicrobial agent (transition metal-doped-IONPs) could provide significant advantages in the treatment of AMR by reducing adverse effects. Previously, bare IONPs and different metal-doped-IONPs exhibited enhanced antimicrobial activity by disrupting cell membrane integrity, reducing antimicrobial gene expression, inhibiting DNA replication and enzymes, and generating ROS as well as hemocompatibility. Therefore, ZDI could also have potential antimicrobial activities in resistant bacteria. As gram-positive and gram-negative bacteria are continuously gaining resistance throughout the years, the current study aimed to investigate the antimicrobial activity and hemocompatibility of ZDI.

1.7 Hypothesis and Objectives

Previously it was seen that the metal-doped-IONPs presented a clear antimicrobial activity against different bacteria. Upon preliminary findings it was hypothesized that the ZDI synthesized in the CP method will be effective as antimicrobial agent against gram-positive (*B. cereus*, *B. subtilis*) and gram-negative bacteria (*Salmoella paratyphi*, *K. pneumoniae*) and will enhance the efficiency of these resistant antibiotics. Additionally, it will show hemocompatibility

against red blood cells and will produce a potential combination with antimicrobial drugs. The aforementioned goals will deal with these claims:

1. To synthesize and characterize ZDI.
2. To examine potential antimicrobial activities against both resistant gram-positive and gram-negative resistant bacteria.
3. To investigate the hemocompatibility of ZDI towards human RBC.

Chapter 2

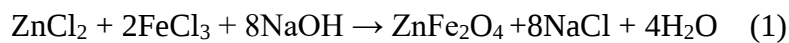
2 Materials and Methods

2.1 Chemicals and Reagents

Zinc chloride (ZnCl_2), iron chloride (FeCl_3), sodium hydroxide (NaOH), Nutrient agar (NA), Mueller-Hinton agar (MHA) and Lysogeny broth (LB) were purchased from Mark Chemicals and HiMedia Laboratories Pvt. Ltd, India. Glycerol ($\text{C}_3\text{H}_8\text{O}_3$) for stocking was obtained from FUJIFILM Wako Pure Chemical Corporation, Japan. *B. cereus*, *B. subtilis*, *K. pneumoniae*, *S. paratyphi* were kindly provided by the Department of Mathematics and Natural Sciences Laboratory of BRAC University, Dhaka, Bangladesh.

2.2 Synthesis of Zinc-Doped-Iron Oxide Nanoparticles (ZDI)

ZDI was synthesized through previously described protocol of CP method with minor modifications (Shakil et al., 2020). The reaction as follows –



Two separate aqueous solutions of FeCl_3 (Mark, India) and ZnCl_2 (Mark, India) of analytical grade were mixed carefully in the required molar ratio. Under the rigorous hot plate magnetic stirring, 8 M NaOH (Mark, India) solution was added drop-wise and the pH of the solution was maintained between 7-7.4 ranges. To control the pH, an extra NaOH solution was added as required. The supernatant was decanted after allowing the colloid to settle down. By using a centrifugation method at 15000 rpm for 20 min solvents were extracted by centrifugation machine (Tomy MX-307). The process was repeated 10 times until the completion of extraction. To obtain the final product the precipitate was annealed for 72 h at 90 °C. The flow diagram of the CP synthesis method is attached below (Figure 3):

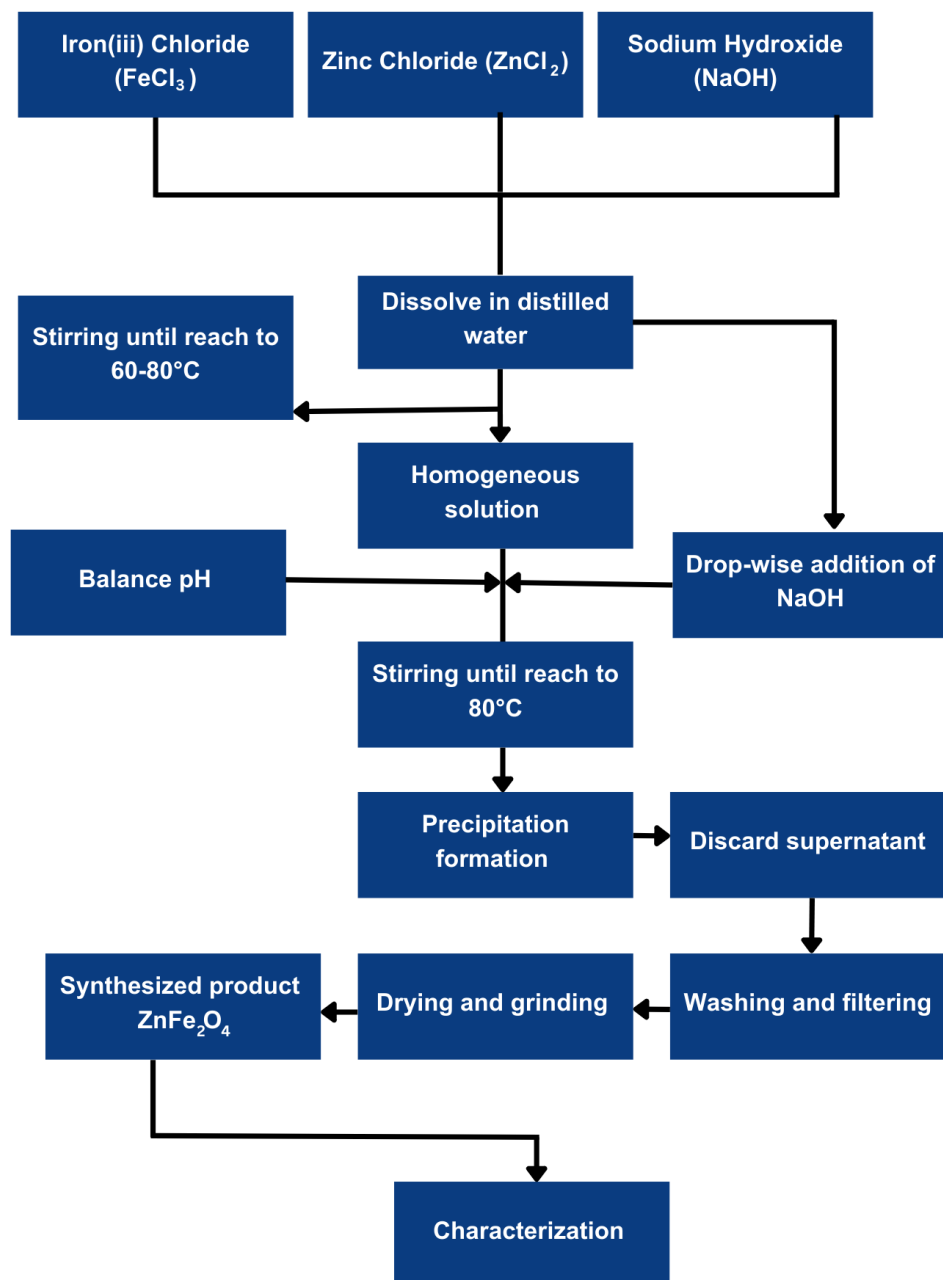


Figure 3: Flow diagram of CP synthesis method of ZDI. ZDI: Zinc-doped-iron oxide nanoparticles.
CP: Co-precipitation

2.3. Characterization

2.3.1 Fourier-Transform Infrared Spectroscopy (FTIR)

FTIR is a technique which is used to acquire the infrared spectrum of absorption, emission, and photoconductivity of solid, liquid, and gas. It is utilized to find various functional groups that are present in nanoparticles. Using a Cary 630 FTIR instrument, the surface functional group analysis of the ZDI samples was carried out. Software called Spectraglyph was used to process the obtained spectra (Software-Entwicklung, Oberstdorf, Germany).

2.4. Glycerol Stock Preparation

A single colony from overnight culture was inoculated into 5 mL LB and incubated at 37°C for 24h with moderate shaking. Then, 500µL of liquid bacterial culture was added into 1.5mL micro-centrifuge tubes. 500µL pre-sterilized, 50% Glycerol was poured directly into the liquid bacterial culture. The final proportions of glycerol stock were 50% bacteria and 50% diluted glycerol. Each vial was kept for incubation at 37°C for 1-2 h and stored at –20°C.

2.4.1 Reviving Glycerol-Recovered Cultures

A loop full of organisms was scraped up and streaked onto selective agar plates and incubated at 37°C overnight. The bacterial scraping was not be thawed. 1.5mL micro-centrifuge tubes were kept on ice until the completion of the tests. Samples were pulled out of the freezer only when necessary (Hoque *et al.*, 2016).

2.4.2 Preparation of Sample Disk with Test Samples

Sterile metrical filter paper disks (Whatman® antibiotic assay disk, 6 mm diameter) and antibiotic disks (MT and AMX, 5 and 30µg, respectively) were taken in a petri dish and soaked with different concentrations (5, 10, 15 mg/mL) of ZDI. The disks were kept to dry properly for several minutes.

2.5. Antimicrobial Tests

Bacterial strains used to determine the antimicrobial potential of ZDI, and ZDI combined with antibiotics (AMX, MT) include gram-positive bacteria (i.e., *B. cereus*, *B. subtilis*), and gram-

negative bacteria (i.e., *K. pneumoniae*, *S. paratyphi*). The antimicrobial activities were evaluated in terms of their ZOI in millimeter (mm) scale, minimum inhibitory concentration (MIC).

2.5.1 Kirby-Bauer Disk Diffusion Method

The antimicrobial activity of ZDI was determined by Kirby-Bauer Disk Diffusion method (Bauer et al., 1966). The Kirby-Bauer disk diffusion method is performed to determine the antimicrobial activities of antimicrobial agents against bacterial strains and the results are categorized as susceptible, intermediate, or resistant according to Clinical and Laboratory Standards Institute (CLSI) guidelines (Humphries et al., 2018). For the procedure, 35mL MHA was poured into large plate (150mm diameter). At first, test tubes with 10 mL saline water (.9% NaCl) were inoculated with a single colony of overnight culture and vortexed properly. The turbidity of the inoculums was adjusted to 0.5 McFarland standards which is equivalent to $1-2 \times 10^8$ CFU/mL. Then the sterile cotton swabs were dipped into the test tubes and pressed against their walls to scrape off the excess inoculums. With that, a bacterial lawn was prepared and disks were placed. Plates were kept for incubation at 37°C for 24 h. Then the results were observed by measuring ZOI in millimeters (mm).

2.5.2 Well Diffusion and Determination of ZOI

Well diffusion method was also performed for a better comprehension of its liquid form against both gram-positive and gram-negative bacteria via previous protocol with minor modifications (Bauer et al., 1966). Briefly, instead of disks, well (6 mm diameter) was punctured onto agar media. 150 μ L of different concentrations of ZDI (i.e., 5, 10, 15 mg/mL) and antibiotic solutions (MT and AMX, 5 and 30 μ g/mL, respectively) were poured into the wells. The plates were incubated at 37°C overnight for optimum growth. The antimicrobial activity of ZDI and ZDI combined with antibiotics were determined by measuring ZOI. A millimeter scale was used to measure the diameter of the ZOI at 24 h.

2.5.3 Determination of the MIC and IC₅₀

The lowest amount of antimicrobial agent required to inhibit the growth of a bacterial strain is considered as MIC value and the concentration of antimicrobial agent needed to inhibit biological process or response by 50% is known as half maximal inhibitory concentration (IC₅₀). The MIC value of ZDI was determined via previous protocol (Mondal et al., 2017). In brief, 10

μL of the overnight bacterial cultures were mixed with 990 μL of freshly prepared LB broth and incubated at 37 °C and 120 rpm for 4 h. Then, 200μL of different concentrations of ZDI and antibiotics were added to the 1×10^8 CFU/mL bacterial culture and incubated overnight at 37 °C and 120 rpm. The optical density of respective bacterial strains was determined at 600 nm to measure the MIC values of the ZDI and antibiotics. The experiment was conducted several times, and the data were reported as the mean of several replications (n = 3) and standard deviations. The percentage of cell inhibition and cell viability were determined by applying Eq. (2-3).

$$\text{Inhibition \%} = \{1 - (A_{\text{sample}} - A_{\text{sample blank}}) / A_{\text{control}} - A_{\text{control blank}}\} \times 100 \quad (2)$$

$$\text{Cell viability \%} = (100 - \text{Cell inhibition \%}) \quad (3)$$

2.6 Hemocompatibility Test

2.6.1 *In Vitro* Hemolysis Assay

The hemocompatibility of ZOI in O⁺ve blood group from both genders (non-smoker) was investigated against human RBCs by following this protocol (Aktanova *et al.*, 2021). However, slight modifications were made. Briefly, 10 mL of human blood was drawn via venipuncture method from a 20–30 year old healthy person and preserved in a tube with 10% EDTA as an anticoagulant. To separate RBCs from serum, the blood samples were centrifuged at 5000 rpm for 5 minutes at room temperature. After aspirating the serum, precipitated RBCs were resuspended in 5 mL of phosphate-buffered saline (PBS, pH 7.4), and the mixture was centrifuged at 5000 rpm for 5 minutes. Then the RBCs were washed twice with 5 mL phosphate-buffered saline (pH 7.4) solution at 5000 rpm for 5 min. Thereafter, 35 mL of PBS was used to prepare the RBC suspension. After that, 200μL of various nanoparticles concentrations were added to the RBC solution (1 mL), and the mixture was incubated for an hour at 37 °C with moderate shaking. Combinations of RBC and nanoparticles were centrifuged for 5 minutes at 5000 rpm. After gathering the supernatant, the absorbance at 570 nm was calculated. In this experiment, RBCs treated with PBS and Triton X-100 served as the negative and positive controls, respectively. The level of lysis was determined for each replicate after the entire experiment was run three times with the following Eq. (4)-

$$\text{Hemolysis (\%)} = 100 \times (\text{Abs} - \text{Ab0}) / ((\text{Abs100} - \text{Abs0})) \quad (4)$$

Abs—absorption index for the test sample;

Ab0—absorbance index for negative control (PBS buffer);

Abs100—Absorption index for positive control (Triton X-100) (Aktanova et al., 2021).

2.7 Statistical Analysis

Each test were performed in triplicate, and the mean $\pm$ standard deviation (SD) was measured via GraphPad Prism (version 7.03). The results of disk and well diffusion test was analyzed using one-way ANOVA coupled with Tukey's multiple comparisons test.

Chapter 3

3 Results

3.1 FTIR Analysis

Analysis was done on the FTIR spectra of ZDI (Figure 4). Metal oxides (M-O) are visible as peaks at about 536 cm^{-1} and 435 cm^{-1} , where M represents Fe and Zn (Jing & Wu, 2004; Liu et al., 2009). The existence of the O-H group is indicated by the widest absorption peak, which was seen at approximately 3400 cm^{-1} . The O-H group is present as a result of the atmosphere's water and moisture absorption. Moreover, samples of ZDI have a peak at 1181 cm^{-1} . This peak is caused by the existence of the C-O group, which is brought on by samples of the environment absorbing CO_2 . ZDI exhibits minimal CO_2 and moisture absorption. This alteration may be the result of variations in the humidity of the air during sample preparation (Darezereshki, 2011; Sultan *et al.*, 2021).

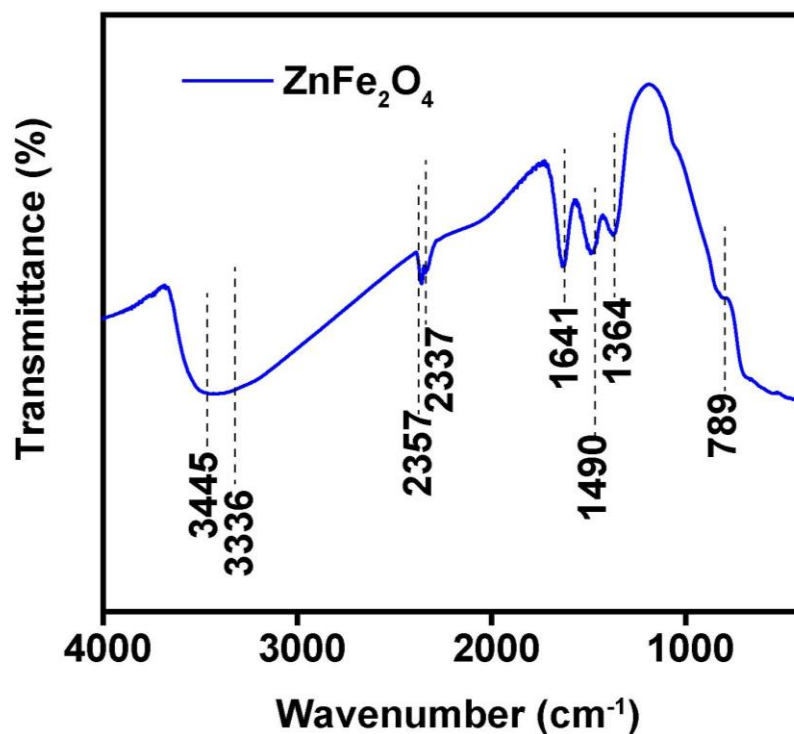


Figure 4: FTIR spectrum of synthesized ZDI. Fe-O, Zn-O, O-H and C-O stretching peaks were visible at 536, 435, 3400, 1181 cm^{-1} , respectively.

3.2 Antimicrobial Test

Figure 5.1.1, 5.1.2, 5.1.3, 5.1.4 and 5.2.1, 5.2.2, 5.2.3, 5.2.4 show the results of antimicrobial properties of the disk and well diffusion assay of ZDI and ZDI combined with antibiotics against all the tested gram-positive and gram-negative bacteria.

The maximum ZOI was produced against gram-positive bacteria *B. subtilis* (20 mm) at 15 mg/mL concentration in well diffusion (Figure 6.2). The respective inhibition zone diameters of the prepared samples against all the tested bacterial strains are summarized in figure 6.1 and 6.2. Based on the disk and well diffusion experiment, the following bacteria had the highest sensitivity to ZDI and ZDI combined with antibiotics: *B. subtilis* > *B. cereus* > *K. pneumoniae* > *S. paratyphi*. However, Tukey's multiple comparison tests showed statistically no significant differences between ZDI and ZDI combined with antibiotic tests. The experiments were carried out thrice to obtain the values of the zone of inhibition.

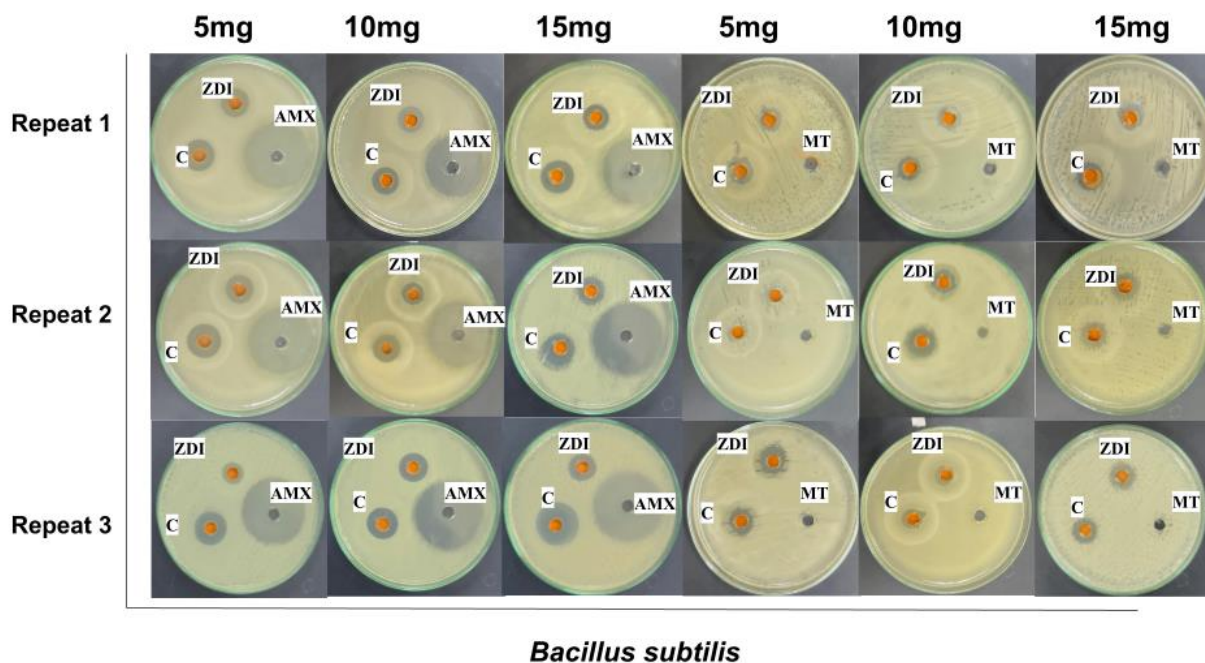
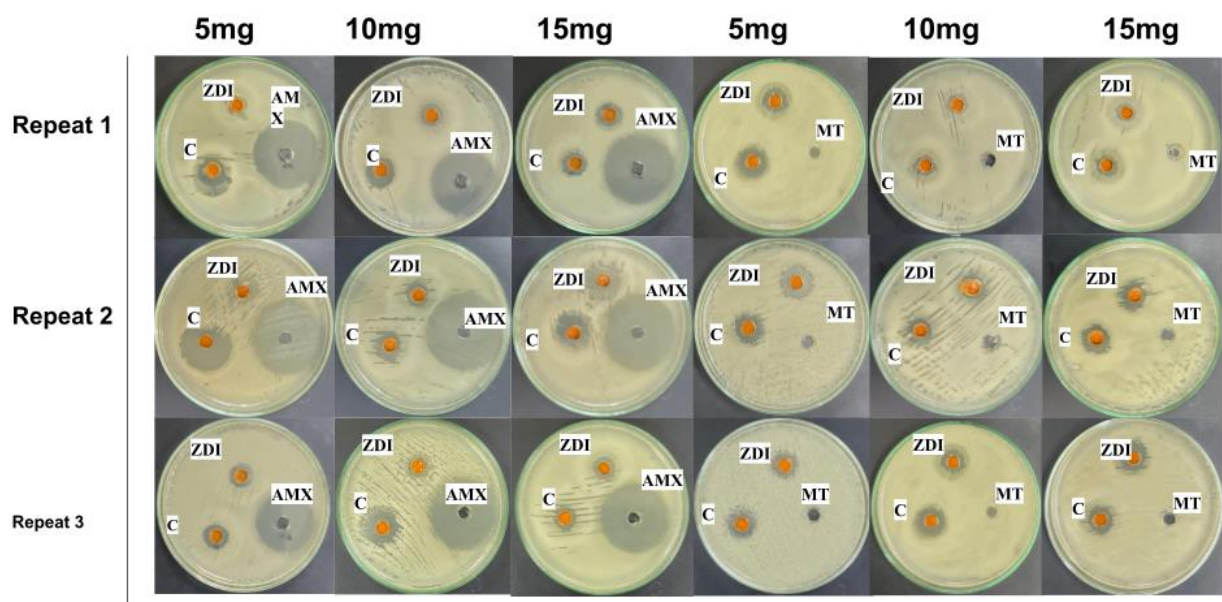
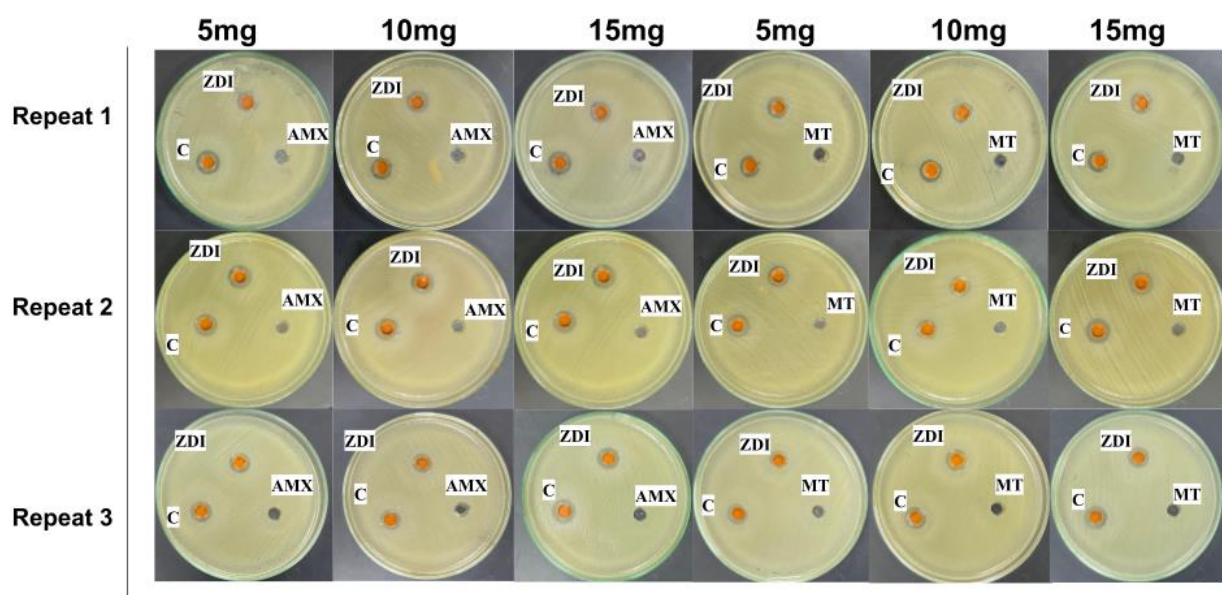


Figure 5.1.1: Photograph of Kirby-Bauer disk diffusion method on *B. subtilis*. ZDI: Zinc-doped-iron oxide; AMX: Amoxicillin MT: Metronidazol.



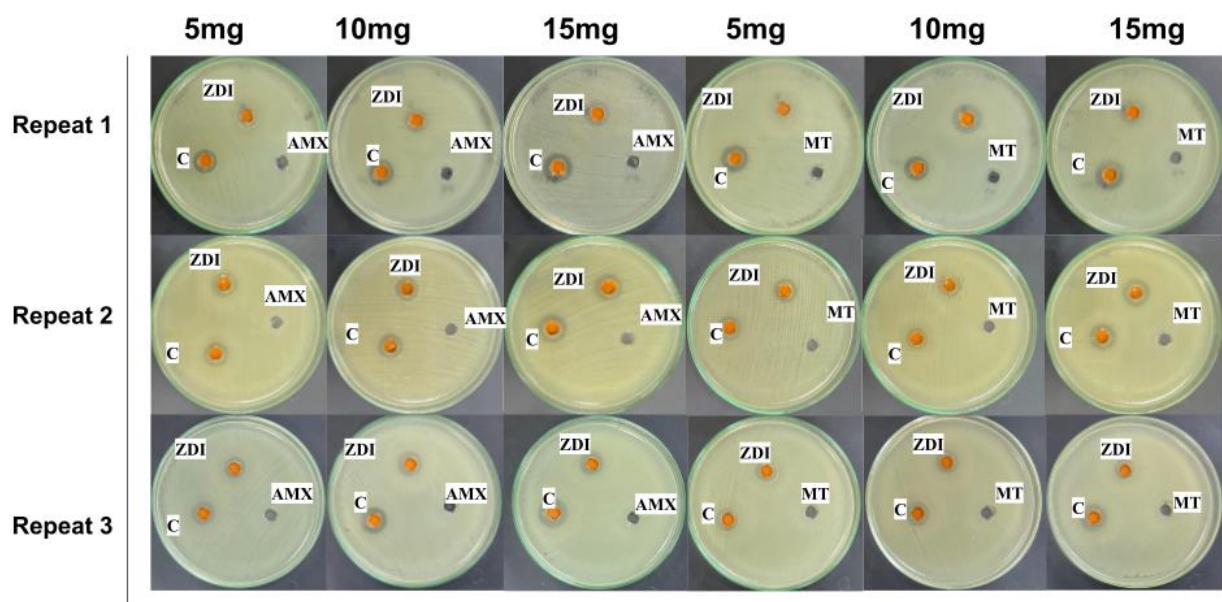
Bacillus cereus

Figure 5.1.2: Photograph of Kirby-Bauer disk diffusion method on *B. cereus*. ZDI: Zinc-doped-iron oxide; AMX: Amoxicillin MT: Metronidazol.



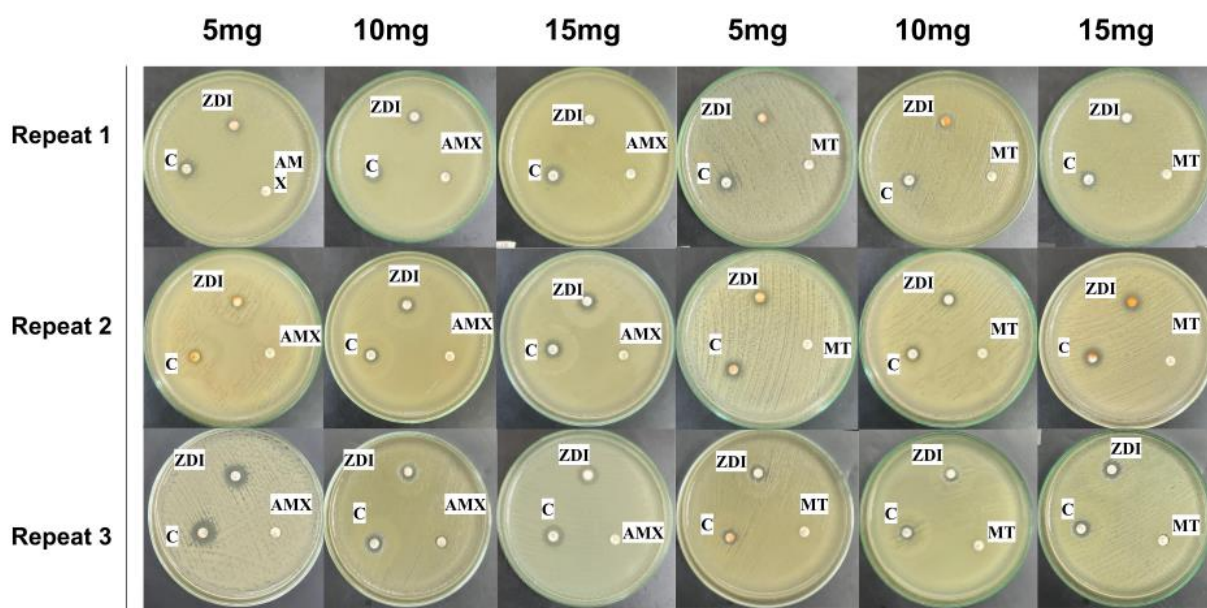
Klebsiella pneumoniae

Figure 5.1.3: Photograph of Kirby-Bauer disk diffusion method on *K. pneumonia*. ZDI: Zinc-doped-iron oxide; AMX: Amoxicillin MT: Metronidazol.



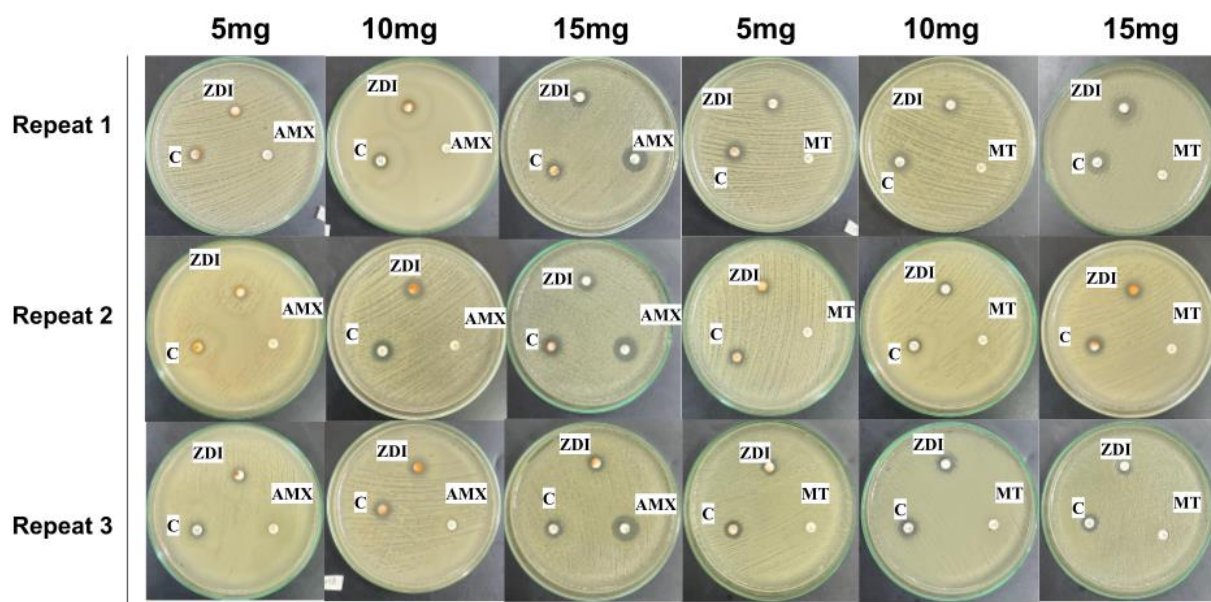
Salmonella paratyphi

Figure 5.1.4: Photograph of Kirby-Bauer disk diffusion method on *S. paratyphi*. ZDI: Zinc-doped-iron oxide; AMX: Amoxicillin MT: Metronidazol.



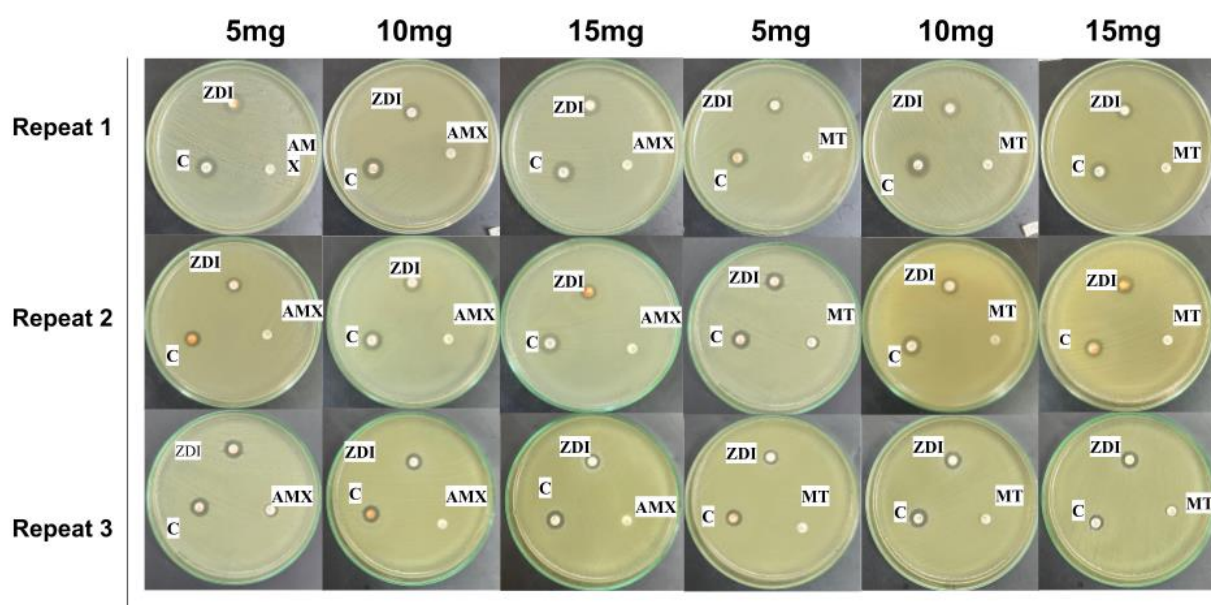
Bacillus subtilis

Figure 5.2.1: Photograph of Kirby-Bauer disk diffusion method on *B. subtilis*. ZDI: Zinc-doped-iron oxide; AMX: Amoxicillin MT: Metronidazol.



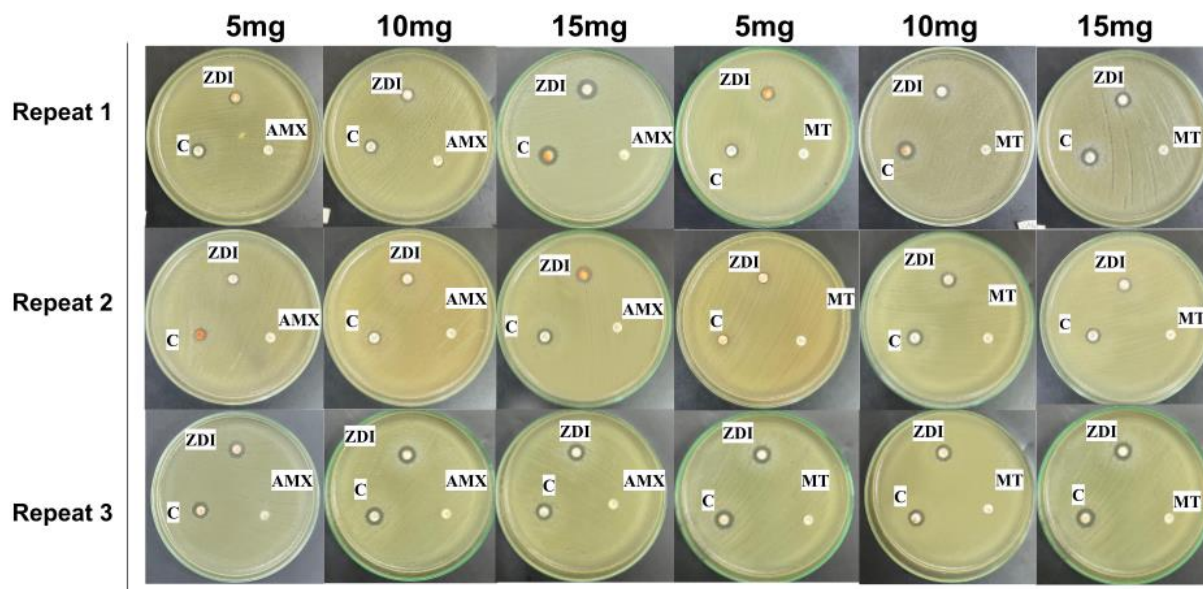
Bacillus cereus

Figure 5.2.2: Photograph of Kirby-Bauer disk diffusion method on *B. cereus*. ZDI: Zinc-doped-iron oxide; AMX: Amoxicillin MT: Metronidazol.



Salmonella paratyphi

Figure 5.2.3: Photograph of Kirby-Bauer disk diffusion method on *S. paratyphi*. ZDI: Zinc-doped-iron oxide; AMX: Amoxicillin MT: Metronidazol.



Klebsiella pneumoniae

Figure 5.2.4: Photograph of Kirby-Bauer disk diffusion method on *K. pneumoniae*. ZDI: Zinc-doped-iron oxide; AMX: Amoxicillin MT: Metronidazol.

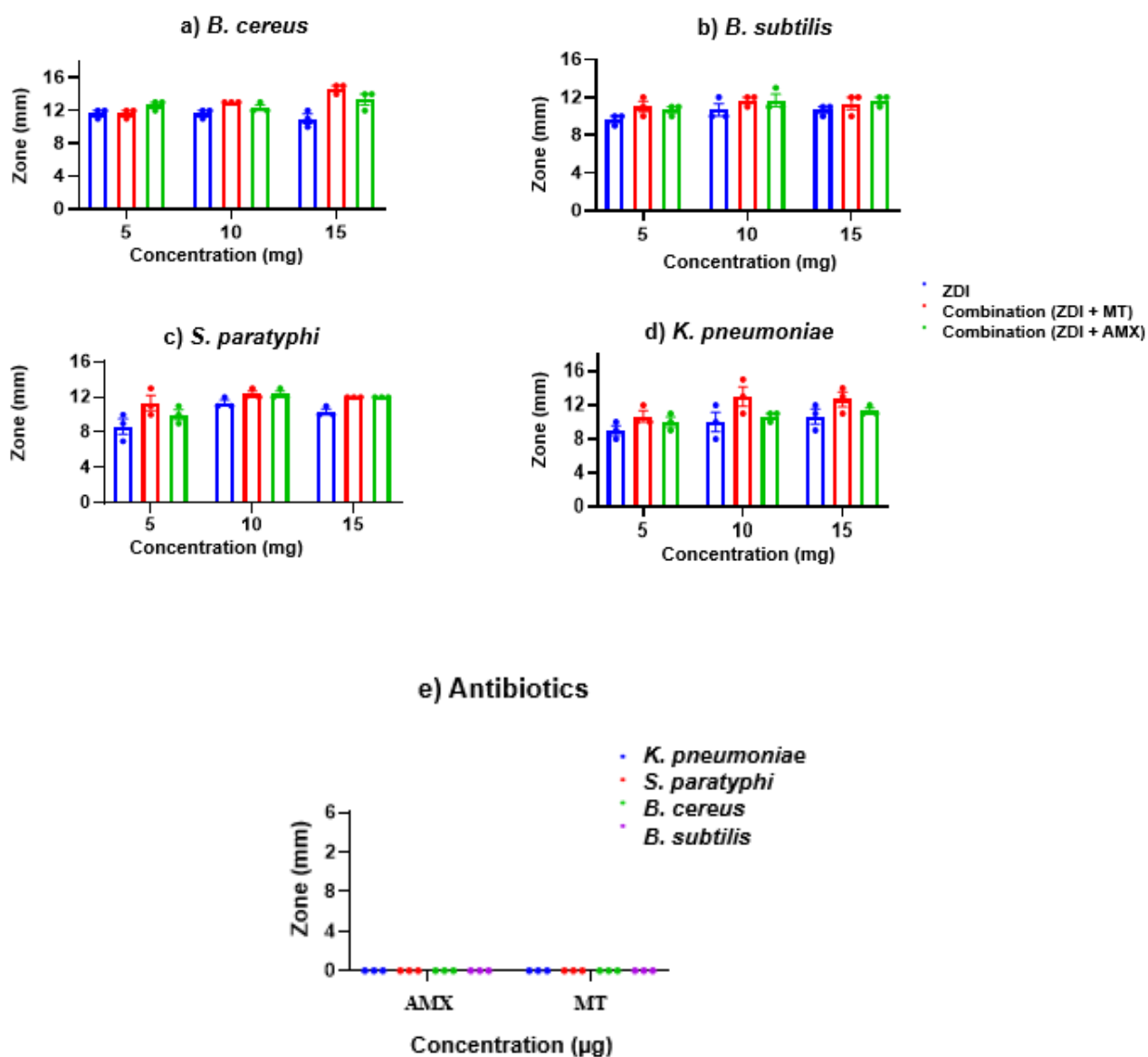


Figure 6.1: Estimated ZOI after treatment of pathogenic bacteria with various concentrations of ZDI by Kirby-Bauer disk diffusion method. a) ZOI of *B. cereus* was around 12-14 mm b) ZOI of *B. subtilis* was around 10-12 mm c) ZOI of *S. paratyphi* is around 8-12 mm d) ZOI of *K. pneumoniae* was around 9-12 mm. Among all, ZDI had demonstrated the highest ZOI (14 mm) against *B. cereus* at 15 mg concentration.

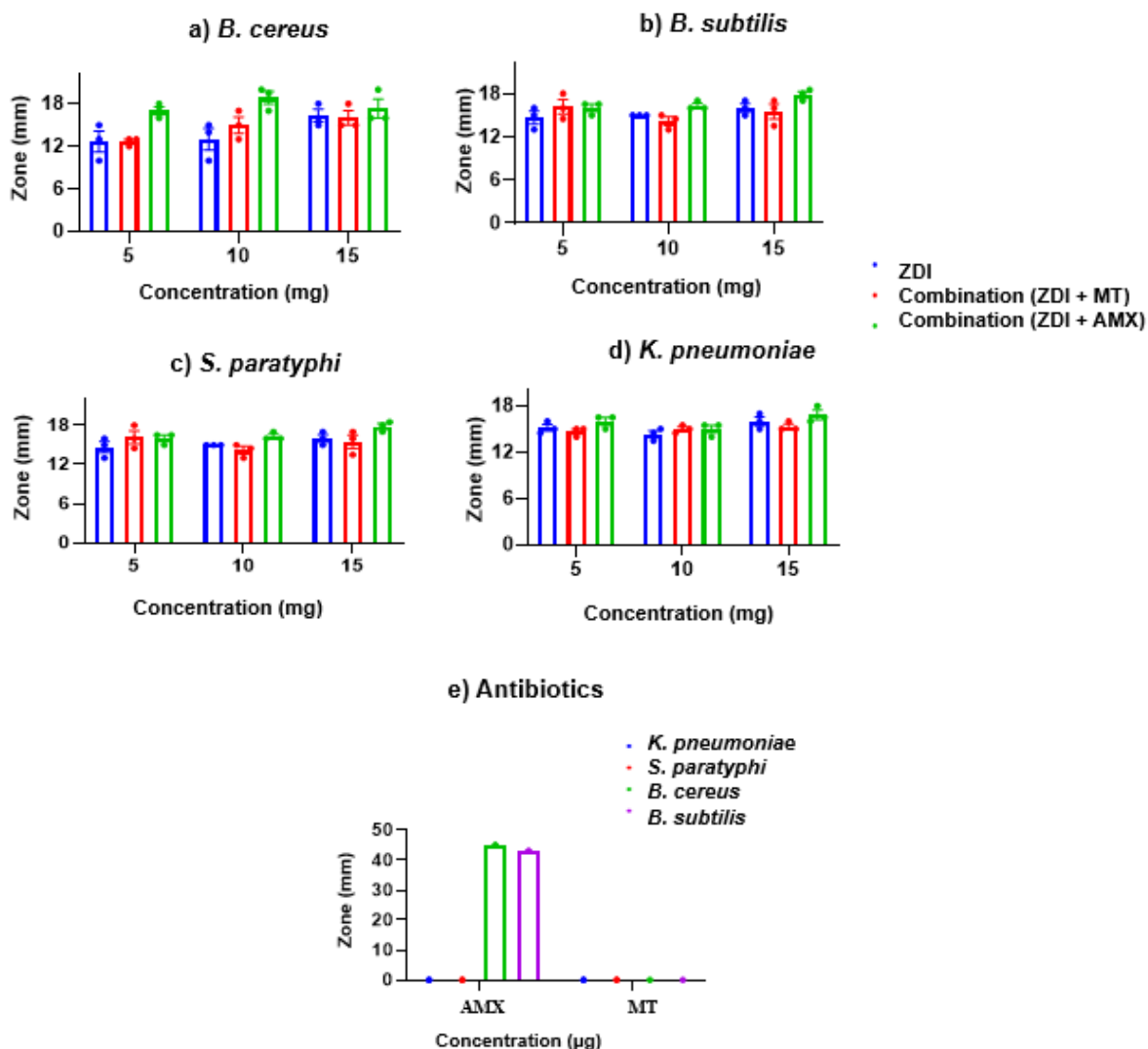


Figure 6.2: Estimated ZOI after treatment of pathogenic bacteria with various concentrations of ZDI by well diffusion method. a) ZOI of *B. cereus* is around 12-18 mm b) ZOI of *B. subtilis* was around 14-18 mm c) ZOI of *S. paratyphi* was around 13-17 mm d) ZOI of *K. pneumoniae* was around 13-17 mm. Among all, ZDI had demonstrated the highest ZOI (18 mm) against *B. cereus* and *B. subtilis* at 15 mg concentration. ZDI had demonstrated the highest ZOI (18mm) against *B. subtilis* at 15 mg concentration. AMX and MT had served as positive and negative control. AMX: Amoxicillin MT: Metronidazol.

3.3 MIC and IC₅₀

The MIC value of ZDI, and antibiotics (AMX, MT) were determined against 1×10^8 CFU/mL for each bacterium. The MIC test revealed the mean MIC of *B. cereus*, *B. subtilis*, *K. pneumonia*, *S. paratyphi* which are 0.024, 0.017, 0.041 and 0.331 μM/mL, respectively. The MIC values of the antibiotics (i.e. AMX, MT) are given in table 3. IC₅₀ values were determined within 0.0-

414.8 $\mu\text{M/mL}$ concentration to understand the effect of ZDI on cell viability (Figure 7). The lowest IC_{50} was determined against *B. subtilis* at .11 $\mu\text{M/mL}$ concentration.

Table 3: Minimum inhibitory concentration of ZDI, AMX, MT on bacteria

Bacteria	MIC		
	ZDI ($\mu\text{M/mL}$)	AMX ($\mu\text{M/mL}$)	MT ($\mu\text{M/mL}$)
<i>B. cereus</i>	0.024	0.004	1.037
<i>B. subtilis</i>	0.017	0.004	0.414
<i>K. pneumoniae</i>	0.041	1.037	1.037
<i>S.paratyphi</i>	0.331	1.037	3.111

MIC: Minimum inhibitory concentration; ZDI: Zinc-doped-iron oxide nanoparticles; AMX: Amoxicillin; MT: Metronidazol

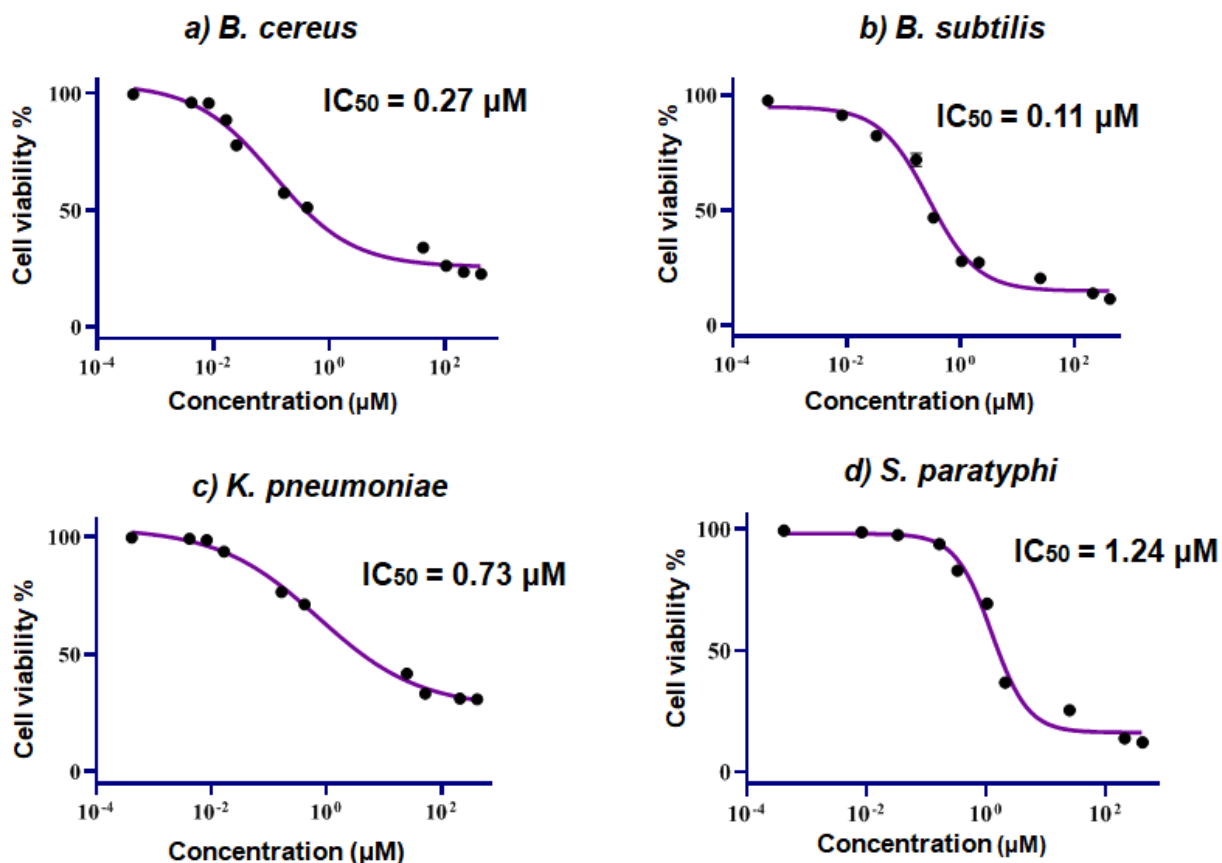


Figure 7: Half maximal inhibitory concentration (IC_{50}) of ZDI on bacteria. a) IC_{50} of *B. cereus* is 0.27 μM b) IC_{50} of *B. subtilis* is 0.11 μM c) IC_{50} of *S. paratyphi* is 0.73 μM d) IC_{50} of *K. pneumoniae* is 1.24 μM. The lowest IC_{50} demonstrated by *B. subtilis* at .11 μM.

3.4 *In vitro* Hemolytic Property of ZDI

A representative photograph of the degree of hemolysis induced by ZDI when exposed to human blood (O^{+ve}), for 4 h of incubation is shown in figure 8. The percentage of lysis of the cells increased as a function of increasing mass concentration of particles in the blood. ZDI concentrations below the threshold (10 mg) did not result in any significant hemolysis. Concentrations of ZDI at or above (20 mg/mL) triggered higher levels of hemolysis, exceeding upto 15% hemolysis in both genders, as shown in figure 8.1.

As per the criterion in the ASTM E2524-08 standard (Dobrovolskaia et al., 2008), the percentage of hemolysis $> 5\%$ indicates the following test material causes damage to RBCs; this criterion was exceeded at the particle concentration of 20 mg/mL for both male and female.

Experiments consisting of negative control and positive control (Triton X-100 and PBS, respectively), confirmed the efficacy of the hemolysis assay.



Figure 8: Blood group-dependent *in vitro* hemolysis assay. A photograph of hemolysis assay of ZDI-treated RBC. PBS and Triton X-100 lysed blood cells served as NC and PC, respectively. ZDI: Zinc-doped-iron oxide nanoparticles, RBC: Red blood cells, PBS: Phosphate Buffer Solution.

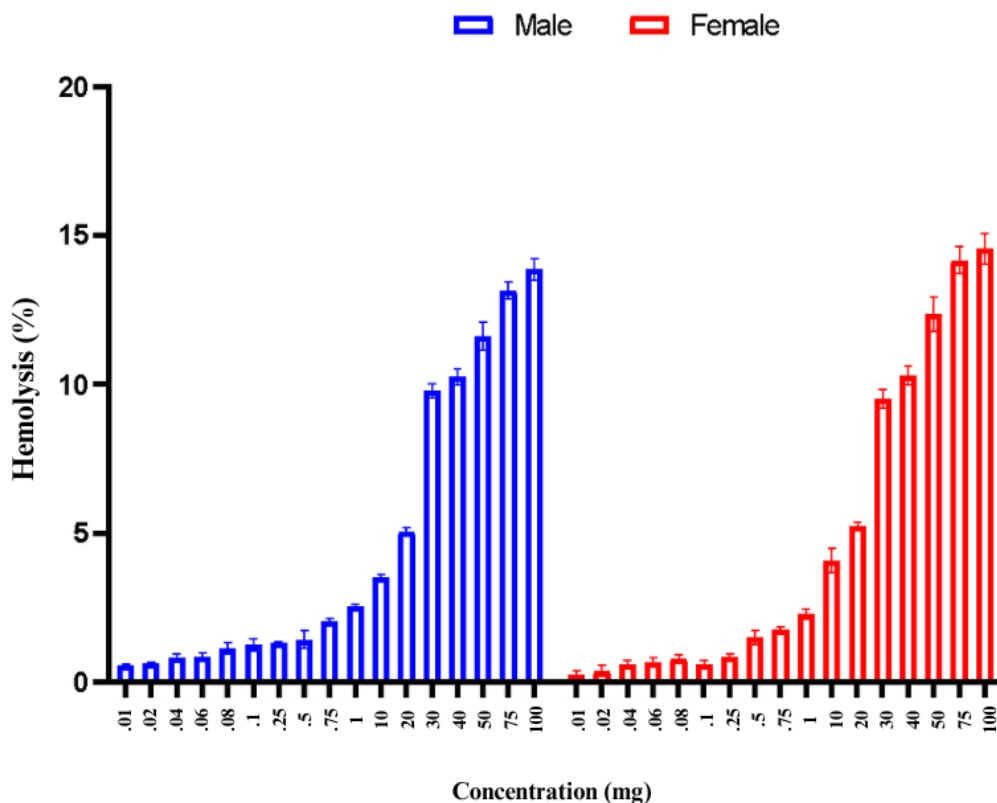


Figure 8.1: Hemocompatibility of ZDI. Percentage of lysed RBC of treated with ZDI from both healthy male and female. The values presented in the graph are mean of triplicate. ZDI: Zinc-doped-iron oxide nanoparticles; RBC: Red blood cells.

Chapter 4

4 Discussions

In this study, ZDI was synthesized via CP method. CP is a sequential chemical process in which a metallo-organic precursor undergoes nucleation, then aggregation or Ostwald ripening to generate metal oxides (Arulmurugan *et al.*, 2005). It is one of the most extensively utilized procedures due to its high yield and simplicity in manufacturing high purity ultrafine magnetic nanoparticles specially ferrites (Chia *et al.*, 2010; Vinosha *et al.*, 2021). It is a simple and low-cost method that results in materials with high crystallinity, requires low temperature, homogeneity, and good textural properties (Chand *et al.*, 2017; Vinosha *et al.*, 2021). The CP method is identical to the precipitation method. The distinction lies in the use of more than one soluble component to precipitate in one solution at the same time. This is a good method for achieving solid dispersion (Yaqoob *et al.*, 2021). Moreover, CP approach has the benefit of producing crystalline sizes in the limited range as compared to other synthesis procedures, depending on the precipitating agent used during the reaction. When direct precipitation cannot separate the required metallic species due to its low concentration in the sample solution, CP is utilized (Bulut *et al.*, 2008). The size, shape, and magnetic characteristics of the nanoparticles may be adjusted by modifying the experimental parameters e.g. reaction temperature and duration, reagent feeding rate and concentration, pH, drying temperature, and so on (Prabhakaran *et al.*, 2017).

The main disadvantages of CP techniques included agglomeration, poor crystallinity and particle size distribution, and the need for pH control (Houshiar *et al.*, 2014; Zahraei *et al.*, 2015). The difficulty of scaling up for mass production is one of the key limitations of the traditional CP synthesis method. The greater the reaction volume, the more complicated to achieve homogeneity (Li *et al.*, 2008). Additionally, gas, such as nitrogen, can be blown over the sample to assist preserve the pH and prevent the components from oxidizing (Liang *et al.*, 2007). Traditional CP procedures may generate IONPs with broader size distribution and batch-to-batch variability in IONPs size, crystallinity, morphology, and other physicochemical features due to a lack of control over mixing and other factors (Ahrberg *et al.*, 2018).

In FTIR analysis, the functional groups and vibrational studies confirmed the doping of ZDI. The existence of C-O and O-H groups indicated the minimal CO₂, water and moisture absorption and this alteration occurred due to variations in the humidity of the air during sample preparation (Darezereshki, 2011; Sultan *et al.*, 2021).

In the antimicrobial susceptibility tests, the basic principle of the disk diffusion assay is that the paper disks absorb water from the agar, after which the impregnated ZDI start diffusing into the agar and, if they exhibit antibacterial characteristics, inhibit bacterial growth around the disk. As a result, the size of the inhibition zone formed around the disk provided an indirect indication of the impregnated material's antimicrobial activities against the tested microorganisms. Well diffusion also followed the similar principle of diffusion. After 24 hours of incubation, the ZOI was observed around the well and disks loaded with the ZDI and ZDI combined with antibiotics and the presence of the inhibition zone demonstrate the antimicrobial activity of the respective ZDI concentration. Moreover, MIC and IC₅₀ also determined the lowest concentration required for ZDI to inhibit the bacteria and concentration required to inhibit 50% growth.

Gram-positive bacteria were more susceptible to ZDI than gram-negative bacteria. The possible reason could be due to their cell wall and cell membrane composition. Gram-positive bacteria lack an outer membrane and rely only on the peptidoglycan layer, which is a weak permeability barrier (Bhattacharya & Neogi, 2018). While gram-negative bacteria have chemically complicated cell walls with an outer membrane made of proteins, phospholipids, and lipopolysaccharides and a thin peptidoglycan layer beneath (Aruguete & Hochella, 2010). Moreover, lipopolysaccharides are reported to be present in the outer membrane of gram-negative bacteria, which increases the net negative charge of the cell membrane (Hajipour *et al.*, 2012), and prevents the penetration of negatively charged free radicals and makes the cell membrane impermeable to lipophilic solutes. As a result, it was asserted that gram-negative bacteria were less sensitive to nanoparticles (Ismail *et al.*, 2015). Another explanation could be the size, shape, and surface charge of the ZDI made them more suited to interact with gram-positive bacteria, although further study was required (Jiang *et al.*, 2020).

In addition to antimicrobial testing, we further investigated the impact of ZDI on human RBC. Nanoparticles have been identified to trigger persistent biochemical and morphological

alterations (erythrocyte membrane disruption), adversely affecting red blood cell functions and leading to hemolysis (Haghniaz et al., 2021; Manaargadoo-Catin *et al.*, 2016). Mohamed *et al.* (2020) reported that, IONPs induce 75% hemolysis at 600µg/mL and 12.48 % hemolysis at 12.5 µg/mL(Mohamed *et al.*, 2020). Interestingly, in our study, concentrations up to 10 mg /mL triggered < 5% hemolysis of ZDI-treated RBC in both gender. However, ≥ 5 % hemolysis was noticed at 20-100 mg/mL concentrations. Additionally, our results concurred with the findings reported by Martnez *et al.* (2019) and Haghniaz *et al.* (2021) that ZDI did not promote hemolysis at .2 mg/mL(Haghniaz *et al.*, 2021; Martínez-Rodríguez *et al.*, 2019). The outcome indicated that a transition metal (zinc) might substantially enhance hemocompatibility when added to an iron oxide formulation by doping.

4.1 Limitations

Although ZDI demonstrated antimicrobial activity and hemocompatibility the current study had some limitations. All the data of MIC and IC₅₀ were not statistically evaluated. Moreover, the level of hemolysis compared to the true positive or true negative had not been investigated. One of the main challenges of using IONPs *in vivo* and *in vitro* was their toxicity and propensity to aggregate due to strong magnetic attraction, and high surface energy (Xia *et al.*, 2012). To address these issues, various types of surface modification compounds could have been utilized for the coating of IONPs such as polymers (e.g. polyvinyl alcohol (Hanusch *et al.*, 2014), polyethylene glycol (Stepien *et al.*, 2018)), monomers (e.g. Citrate (Nigam *et al.*, 2011; Stein *et al.*, 2020), Carboxylic monomer (Kandasamy *et al.*, 2018)), Silica (Rivas Rojas *et al.*, 2017; Yu *et al.*, 2002), Chitosan (Bandi *et al.*, 2019; Hastak *et al.*, 2018; Shakil *et al.*, 2020), antioxidants (e.g. Salicylic acid (Mikhnavets *et al.*, 2022), Citric (Wang *et al.*, 2018), Ascorbic (Taei *et al.*, 2016), Gallic acid (Correcher *et al.*, 2021; Shah *et al.*, 2017)), surfactant (e.g. Oleic acid (Ika O. Wulandari, 2019), Stearic acid (Jaishankar *et al.*, 2019) to increase the stability of IONPs (Laurent *et al.*, 2008; Mohammadi *et al.*, 2018; Nosrati *et al.*, 2017). Moreover, the experiments were conducted in a short period of time. Required reagents were not received on time thus the workflow was hampered.

4.2 Conclusions and future directions

ZDI was synthesized by the CP method and FTIR confirmed the functional groups of metal oxides (Fe-O, Zn-O) present in ZDI around 536, and 435 cm^{-1} , respectively. Among all the bacteria, gram-positive bacteria exhibited the most sensitivity against ZDI. In disk and well diffusion, gram-positive bacteria (*B. subtilis* and *B. cereus*) demonstrated the highest ZOI (18mm) against ZDI. *Bacillus subtilis* also demonstrated the lowest MIC (0.017 $\mu\text{M}/\text{mL}$) and IC₅₀ (0.11 $\mu\text{M}/\text{mL}$) against ZDI suggesting that the gram-positive bacteria were more sensitive towards ZDI and the possible reason could be the composition of cell wall and cell membrane structure of gram-positive and gram-negative bacteria. ZDI also demonstrated excellent hemocompatibility against RBC concentration lower than 10 mg/mL demonstrating $\leq 5\%$ hemolysis in both genders. However, the concentration at or above 20% demonstrated $\geq 5\%$ hemolysis. It can be stated that doping Zinc can enhance the hemocompatibility of IONPs. Additionally, to confirm the composition regarding shape and size XRD, TEM and DSL could be performed. Moreover, to understand the anti-cancer activity and anti-biofilm activity and antibiotics further tests are recommended. Finally, investigation of the combined effect of ZDI and antibiotics using fractional inhibitory concentration can provide a conclusive result regarding cell death, concentrations, mechanism of action of antimicrobial activity, respectively.

Chapter 5

5 References

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